

Duality, Descent & Defects I

LECTURE II

2024 / 25





A LATINO PAIR GROUPOID



## GROUPOID'S ANATOMY:

ON LIE GROUPS, LOCAL & GLOBAL DIFFERENTIAL STRUCTURE IS ENCODED BY THE LEFT RSP. RIGHT REGULAR ACTION  $\lambda$ . RSP.  $\rho$ .

ON LIE GROUPOIDS, THINGS GET SUBTLE AS THEY ACT ON THEMSELVES ONLY FIBREWISE...

DEF. 31. FOR ANY LIE GROUPOID  $(M, g, s, t, \lambda, \rho, \iota)$ ,

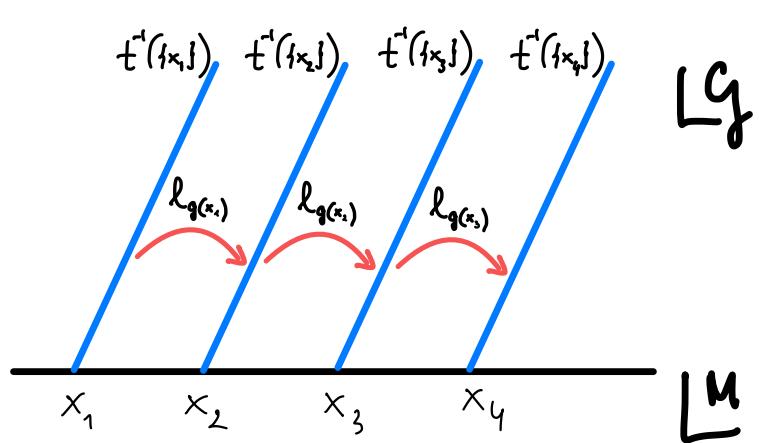
GIC FOR ANY  $g \in s^{-1}(\{x\}) \cap t^{-1}(\{y\})$ , THE **LEFT-TRANSLATION** BY  $g$  IS THE SMOOTH MAP

$$\lambda_g : t^{-1}(\{x\}) \rightarrow t^{-1}(\{y\}) : h \mapsto g \cdot h$$

THE **RIGHT-TRANSLATION** BY  $g$  IS THE SMOOTH MAP

$$\rho_g : s^{-1}(\{y\}) \rightarrow s^{-1}(\{x\}) : h \mapsto h \cdot g$$

IN PHYSICAL APPLICATIONS, EXISTENCE  
GOOD ENOUGH, & SO WE LOOK  
for GENERALISATIONS of, SAY, i...



REPLACE:  $g \mapsto g(\cdot)$

$$\begin{matrix} \dots \\ t(g) \equiv M \\ \downarrow \\ g \end{matrix}$$

with  $s(g(x)) = t(t^{-1}(tx)) \equiv x$

THE REQUIREMENT THAT SUCH AN ACTION BE A DIFFEOMORPHISM  
IMPLIES THAT ITS RESTRICTION TO  $M$  HAS THIS PROPERTY,  
i.e.,  $t \circ g \in \text{Diff}(M)$ . THIS LEADS to...

**Definition 31** ([MMr03]). Let  $\mathbf{Gr} = (M, \mathcal{G}, s, t, \text{Id}, \text{Inv}, \cdot)$  be a Lie groupoid. A (global) **bisection** of  $\mathbf{Gr}$  is a section  $\sigma: M \rightarrow \mathcal{G}$  of the surjective submersion  $s: \mathcal{G} \rightarrow M$  such that the induced map

$$t_*\sigma \equiv t \circ \sigma: M \rightarrow M$$

is a diffeomorphism. Equivalently, it is a submanifold  $S \subset \mathcal{G}$  with the property that both restrictions:  $s|_S$  and  $t|_S$  are diffeomorphisms. We shall denote the set of bisections as  $\text{Bisec}(\mathbf{Gr})$ .

A **local bisection** of  $\mathbf{Gr}$  is a local section  $\sigma: O \rightarrow \mathcal{G}$  of  $s$  over an open subset  $O \subset M$  such that the induced map

$$t_*\sigma \equiv t \circ \sigma: O \rightarrow t \circ \sigma(O)$$

is a diffeomorphism. We shall denote the set of local bisections as  $\text{Bisec}_{\text{loc}}(\mathbf{Gr})$ .

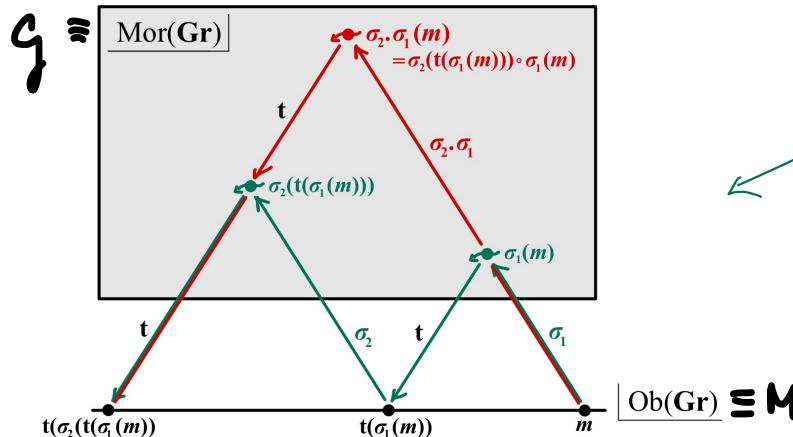


**Definition 32.** The **group of bisections** of  $\mathbf{Gr}$  is the canonical structure of a group on  $\text{Bisec}(\mathbf{Gr})$ . Its binary operation is defined as

$$\cdot: \text{Bisec}(\mathbf{Gr}) \times \text{Bisec}(\mathbf{Gr}) \rightarrow \text{Bisec}(\mathbf{Gr}): (\sigma_2, \sigma_1) \mapsto \sigma_2(t \circ \sigma_1(\cdot)) \cdot \sigma_1(\cdot) \equiv \sigma_2 \cdot \sigma_1.$$

The neutral element is  $\text{Id}$ , termed the **unit bisection** in the present context, and the corresponding inverse is

$$\text{Inv}: \text{Bisec}(\mathbf{Gr}) \rightarrow \text{Bisec}(\mathbf{Gr}): \beta \mapsto \text{Inv} \circ \beta \circ (t_*\beta)^{-1} \equiv \beta^{-1}.$$



E.9.1

Ex. 34. For  $Gr \equiv \hat{G}$ , we find  $\text{Bisec}(\hat{G}) \cong G$ .

Ex. 35. For  $Gr \equiv \text{Pair}(M)$ , we find  $\text{Bisec}(\text{Pair}(M)) \cong \text{Diff}(M)$ .

Ex. 36. For  $Gr \equiv \text{Pair}_\Sigma(M)$ , we find

$$\text{Bisec}(\text{Pair}_\Sigma(M)) \cong \text{Aut}_{\text{Bun}(\Sigma)}(M \mid \text{id}_\Sigma) =: \text{Aut}_{\text{Bun}(\Sigma)}(M)_{\text{verl.}}$$

Ex. 37. For  $Gr \equiv G \rtimes_\lambda M$ , we find

$$\text{Bisec}(G \rtimes_\lambda M) \cong \{f: M \rightarrow G \mid (m \mapsto f(m)^{-1} f(m)) \in \text{Diff}(M)\}$$

Ex. 38. For  $Gr \equiv \hat{M}$ , we find  $\text{Bisec}(\hat{M}) = \{\text{id}_M\} \cong 1$ .

## B-ACTIONS :

**Definition 39.** The **left regular action of  $\mathbb{B}$  (on itself)** is

$$\ell: \mathbb{B} \times \mathbb{B} \longrightarrow \mathbb{B}: (\gamma, \beta) \mapsto \gamma \cdot \beta \equiv \ell_\gamma(\beta),$$

and the **right regular action of  $\mathbb{B}$  (on itself)** is

$$\varphi: \mathbb{B} \times \mathbb{B} \longrightarrow \mathbb{B}: (\beta, \gamma) \mapsto \beta \cdot \gamma \equiv \varphi_\gamma(\beta).$$

ON ITSELF

The **adjoint action of  $\mathbb{B}$  on itself** is

$$c: \mathbb{B} \times \mathbb{B} \longrightarrow \mathbb{B}: (\gamma, \beta) \mapsto \gamma \cdot \beta \cdot \bar{\gamma}^{-1} \equiv c_\gamma(\beta).$$

**Definition 40.** The **shadow action of  $\mathbb{B}$  on  $M$**  is

$$t_*: \mathbb{B} \times M \longrightarrow M: (\sigma, m) \mapsto t(\sigma(m)).$$

By the usual abuse of the notation, we shall refer by the same name and use the same symbol for the group homomorphism

ON M

$$t_*: \mathbb{B} \longrightarrow \text{Diff}(M).$$

**Definition 41.** The **left-multiplication of  $\mathcal{G}$  by  $\mathbb{B}$**  is the left action

$$L: \mathbb{B} \times \mathcal{G} \longrightarrow \mathcal{G}: (\sigma, g) \mapsto \sigma(t(g)).g \equiv L_\sigma(g) \equiv \sigma \triangleright g.$$

The **right-multiplication of  $\mathcal{G}$  by  $\mathbb{B}$**  is the right action

$$R: \mathcal{G} \times \mathbb{B} \longrightarrow \mathcal{G}: (g, \sigma) \mapsto g \cdot (\sigma^{-1}(s(g)))^{-1} \equiv R_\sigma(g) \equiv g \triangleleft \sigma.$$

ON G

The **conjugation of  $\mathcal{G}$  by  $\mathbb{B}$**  is the left action

$$C: \mathbb{B} \times \mathcal{G} \longrightarrow \mathcal{G}: (\sigma, g) \mapsto \sigma(t(g)).g \cdot \sigma(s(g))^{-1} \equiv C_\sigma(g) \equiv \sigma \triangleright g \triangleleft \sigma^{-1}.$$

IT IS NOT HARD TO SEE THAT GENERICALLY THERE EXIST ARROWS WITH NO GLOBAL BISECTIONS THROUGH THEM (SEE: **Rem. 45.**). HENCE,

**Definition 42.** A Lie groupoid  $\mathcal{G}$  is called **Id-reducible** if for each  $g \in \mathcal{G}$  there exists  $\beta \in \mathbb{B}$  such that  $g = \beta(s(g))$ , i.e., if there is a global bisection through every arrow.

**Remark 43.** The name is justified by the following simple observation: The condition  $g = \beta(s(g))$  is satisfied iff  $g = R_\beta(\text{Id}_{t(g)})$ . Note, e.g., that the action groupoid of Ex. 15 is manifestly Id-reducible.

HOWEVER,

**Theorem 44.** [ZCL09, Thm. 3.1] Every Lie groupoid with connected fibres of the source map is Id-reducible.

**Remark 45.** The significance of the assumption of  $s$ -connectedness of  $\mathcal{G}$  is emphasised by the following counter-example, which we borrow from Ref. [SWo16, Rem. 2.18 b)]. Take any two non-diffeomorphic manifolds  $M$  and  $N$ , and consider the pair groupoid  $\text{Pair}(M \sqcup N) \equiv \mathbf{Gr}$  of their disjoint union, with  $\text{Bisec}(\mathbf{Gr}) \cong \text{Diff}(M \sqcup N)$ . Pick arbitrary points  $m \in M$  and  $n \in N$ . Clearly, there is no global bisection through  $(n, m) \in \text{Mor } \mathbf{Gr}$  (here, we view  $M$  and  $N$  as submanifolds in  $M \sqcup N$ ) as there is no (global) diffeomorphism  $M \rightarrow N$ , which could map  $m \mapsto n$ .

THE SITUATION CHANGES DRAMATICALLY, AND CONSEQUENTLY, TOO, WHEN WE PASS FROM GLOBAL TO LOCAL BISECTIONS...

Prop. 46. FOR ANY Lie GROUPOID  $Gr = (M, g, s, t, Id, Inv, \mu)$  & ANY ARROW  $g \in G$ , THERE EXISTS A LOCAL BISECTION  $\beta \in \text{Bise}_{\text{loc}}(Gr)$  ON A NEIGHBOURHOOD OF  $s(g)$  s.t.  $g = \beta(s(g))$ .

Proof: WE CONSIDER THE TANGENTS OF  $s$  &  $t$  AT  $g$ . BOTH MAPS ARE SUBMERSIVE, & SO WE CAN USE THE FOLLOWING

LEMMA 47. LET  $V, W_1, W_2 \in \text{Vect}_{\mathbb{K}}^{<\infty}$  WITH  $W_1 \xrightarrow{\sim} W_2$ , & LET  $\chi_A \in \text{Hom}_{\mathbb{K}}(V, W_A)$ ,  $A \in \{1, 2\}$  BE EPI. THERE EXISTS A SUBSPACE  $\Delta \subset V$  WITH PROPERTY  $\chi_A|_{\Delta} : \Delta \xrightarrow{\cong} W_A$ ,  $A \in \{1, 2\}$ .

Proof of LEMMA: WITHOUT ANY LOSS OF GENERALITY, WE MAY ASSUME  $W_1 = W_2 = W$  (IT SUFFICES TO CONSIDER  $\tilde{\chi}_2 := \omega \circ \chi_2$  instead of  $\chi_2$ ). (21)

Denote  $D = \dim_K W$ . Pick any  $\{v_i\}_{i \in \overline{1, D}}$  s.t.

$$W = \langle \chi_1(v_i) \mid i \in \overline{1, D} \rangle_K$$

If the  $\chi_2(v_i)$  are linearly independent, then  $I := \langle v_i \mid i \in \overline{1, D} \rangle_K$  is the sought-after subspace, i.e.,  $\Delta = I$ .

If not, assume - without loss of generality - that

$$\chi_2(I) \equiv \langle \chi_2(v_j) \mid j \in \overline{1, K} \rangle_K \quad (\text{possibly } K=0).$$

We have  $V = I \oplus \text{Ker } \chi_1$ , so so there exist vectors

$$\xi_a \in \text{Ker } \chi_1, a \in \overline{K+1, D} \quad \text{s.t.} \quad \langle \chi_2(v_j), \chi_2(\xi_a) \mid j \in \overline{1, K} \wedge a \in \overline{K+1, D} \rangle_K = W$$

We may then take  $\delta_l := \begin{cases} v_l & \text{for } l \in \overline{1, K} \\ v_l + \xi_l & \text{for } l \in \overline{K+1, D} \end{cases}$  to obtain

$$\Delta = \langle \delta_l \mid l \in \overline{1, D} \rangle_K \quad \square$$

IN VIRTUE of **LEMMA 47.**, THERE EXISTS  $\Delta \subset T_g G$  s.t.

$$\Delta \oplus \text{Ker } T_g t = T_g G = \Delta \oplus \text{Ker } T_g s.$$

CONSIDER NEIGHBOURHOODS of  $g \in G$  &  $s(g) \in M$  with RESPECTIVE COORDS s.t. THE CORRESPONDING COORDINATE PRESENTATION of  $s$  is

$$pr_1 : \mathbb{R}^{\dim M} \oplus \mathbb{R}^{\dim g - \dim M} = \mathbb{R}^{\dim M} \longrightarrow \mathbb{R}^{\dim M}$$

with THE COORDINATE DERIVATIONS COINCIDING with THE BASIS of  $\Delta \subset \text{Ker } T_g s$  (i.e., COORDS ADAPTED to THE SPLITTING  $\Delta \oplus \text{Ker } T_g s$ )

TAKE A LOCAL SECTION  $\sigma$  of  $s$  with THE CANONICAL PRESENTATION in THE CHOSEN COORDS. By CONSTRUCTION  $T_g(t \circ \sigma)$  is ISO, & so - by THE INVERSE-FUNCTION THEOREM -  $t \circ \sigma$  is DIFFEO on SOME NEIGHBOURHOOD  $U$  of  $s(g)$ . WE THEN TAKE  $\beta = \sigma|_U$ . □ 23

Prop. 48. For any Lie Groupoid  $Gr = (M, G, s, t, Id, inv, \iota)$  & any  $m \in M$ , the restriction  $t|_{s^{-1}(\{m\})}$  of  $t$  to the source fibre has constant rank.

Proof: Consider any two points  $g, h \in s^{-1}(\{m\})$ .

As  $t(g^{-1}) = s(g) = m \equiv s(h)$ , the arrow  $h \cdot g^{-1}$  is well-defined,

& so there exists a local bisection  $\beta \in \text{Bise}(Gr)_{loc}$

with the property  $\beta(t(g)) = \beta(s(h \cdot g^{-1})) = h \cdot g^{-1}$ , which

implies  $\tilde{t}_* \beta(t(g)) = t(h \cdot g^{-1}) = t(h)$  for (see: **Def. 39.**)

$\tilde{t}_* : \text{Bise}(Gr)_{loc} \rightarrow \text{Diff}(M)_{loc}$

:  $\beta \longmapsto t \circ \beta$

Denote  $U := \text{Dom}(\beta) \subset M$  &  $V := \tilde{t}_* \beta(U) \subset M$ , (24)

SO THAT WE OBTAIN THE DIFFEOMORPHISM (SEE: DEF. 40.)

$$\tilde{L}_\beta : t^{-1}(u) \xrightarrow{\cong} t^{-1}(v) : k \mapsto \beta(t(k)).k.$$

NOTE THAT  $\tilde{L}_\beta(g) \equiv \beta(t(g)).g = h.g^{-1}.g = h$ .

AS  $s \circ \tilde{L}_\beta = s$ , WE SEE THAT  $\tilde{L}_\beta$  RESTRICTS TO A DIFFEO  
ON EACH  $s$ -FIBRE WITHIN  $t^{-1}(u)$ . (THE STATEMENT MAKES SENSE  
IN VIRTUE OF THE CONSTANT-RANK LEVEL-SET THEOREM [Lee 2012, Th<sup>5.12</sup>])  
AS THE  $s$ -FIBRES ARE PREIMAGES OF POINTS IN  $M$  ALONG  
THE SUBMERSION  $s$ .) MOREOVER,

$$t \circ \tilde{L}_\beta = t \circ \beta \circ t = \tilde{t}_* \beta \circ t,$$

AND SO WE HAVE A COMMUTATIVE DIAGRAM

$$\begin{array}{ccc}
 t^{-1}(U) \cap s^{-1}(\{m\}) & \xrightarrow{\tilde{t}_\beta \upharpoonright_{s^{-1}(\{m\})}} & t^{-1}(V) \cap s^{-1}(\{m\}) \\
 \downarrow t \upharpoonright_{s^{-1}(\{m\})} & \mathfrak{H} & \downarrow t \upharpoonright_{s^{-1}(\{m\})} \\
 U & \xrightarrow{\tilde{t}_* \beta} & V
 \end{array}$$

THE HORIZONTAL ARROWS in IT REPRESENT DIFFEOS, of which THE UPPER ONE TAKES  $g$  to  $h$ . HENCE,

$$\text{rk } t(g) = \text{rk } t(h) . \quad \square$$

THE LAST RESULT HAS IMPORTANT CONSEQUENCES...

DEF. 49. LET  $Gr = (M, g, s, t, Id, \mu_r, m)$  BE A LIE GROUPOID, & LET  $m \in M$  BE ARBITRARY. THE ISOTROPY GROUP of  $m$  IS THE SUBSET

$$G_m := s^{-1}(\{m\}) \cap t^{-1}(\{m\}) \subset G$$

WITH THE MULTIPLICATION & INVERSE MAPS OF  $G$  RESTRICTED TO  $\pi$ , & WITH  $\bullet \mapsto \overline{Id}_m$  AS THE GROUP UNIT.

PROP. 50. THE ISOTROPY GROUP OF ANY POINT IN THE OBJECT MANIFOLD OF A LIE GROUPOID IS A LIE GROUP.

PROOF: Fix  $m \in M$ . THE ISOTROPY GROUP  $G_m$  IS THE PREIMAGE of  $\{m\}$  along THE RESTRICTION OF  $t$  TO THE  $s$ -FIBRE  $s^{-1}(\{m\})$ . BUT BY PROP. 48.,  $t|_{s^{-1}(\{m\})}$  HAS CONSTANT RANK, & SO - (27)

IN VIRTUE OF THE CONSTANT-RANK LEVEL-SET THEOREM [Lee 2012, Th<sup>5.12</sup>]

$G_m$  IS A SUBMANIFOLD IN  $S^{-1}(\{m\})$ , i.e., IT IS, IN PARTICULAR, A MANIFOLD. NOW, THE RESTRICTIONS OF  $m$  &  $\text{Inv}$  TO  $G_m$  ARE SMOOTH, & SO THE QUADRUPLE

$$(G_m, m|_{G_m \times G_m}, \text{Inv}|_{G_m}, \cdot \mapsto \bar{Id}_m)$$

IS A GROUP OBJECT IN  $\text{Man}$ , i.e., A LIE GROUP.  $\square$