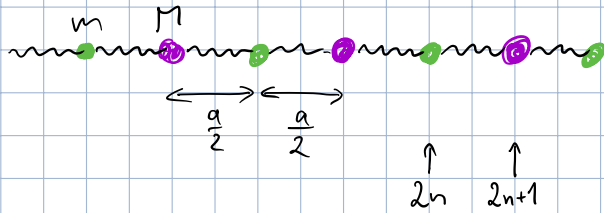


1



a - lattice constant

ϕ_i - displacement of the i -th atom

Equations of motion:

$$\begin{cases} m\ddot{\phi}_{2n} = \kappa(\phi_{2n+1} - \phi_{2n} - \phi_{2n} + \phi_{2n-1}) \\ M\ddot{\phi}_{2n+1} = \kappa(\phi_{2n+2} - \phi_{2n+1} - \phi_{2n+1} + \phi_{2n}) \end{cases}$$

Seek for the normal modes:
$$\begin{cases} \phi_{2n} = A e^{i(q2n\frac{a}{2} - \omega t)} \\ \phi_{2n+1} = B e^{i(q(2n+1)\frac{a}{2} - \omega t)} \end{cases} \rightarrow \begin{cases} -m\omega_q^2 A = \kappa(B e^{iq\frac{a}{2}} - 2A + B e^{-iq\frac{a}{2}}) \\ -M\omega_q^2 B = \kappa(A e^{iq\frac{a}{2}} - 2B + A e^{-iq\frac{a}{2}}) \end{cases}$$

$$\underbrace{\begin{pmatrix} -m\omega_q^2 + 2\kappa & -2\kappa \cos \frac{qa}{2} \\ -2\kappa \cos \frac{qa}{2} & -M\omega_q^2 + 2\kappa \end{pmatrix}}_M \begin{pmatrix} A \\ B \end{pmatrix} = 0$$

Existence of nontrivial solutions requires that $\det M = 0$.

$$\det M = 0 \rightarrow (-M\omega_q^2 + 2\kappa)(-m\omega_q^2 + 2\kappa) - 4\kappa^2 \cos^2 \frac{qa}{2} = 0$$

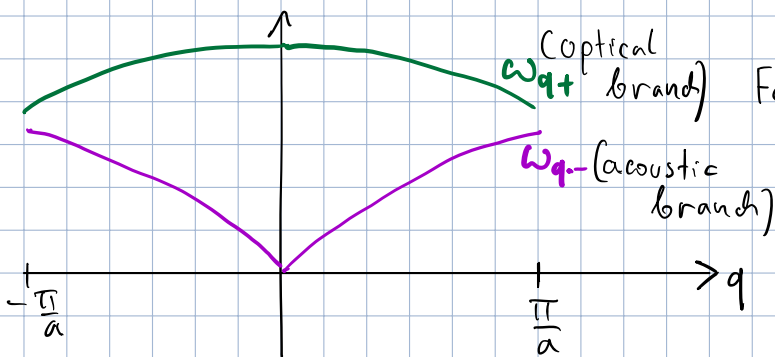
$$Mm(\omega_q^2)^2 - 2\kappa(M+m)\omega_q^2 + 4\kappa^2(1 - \cos^2 \frac{qa}{2}) = 0$$

$$\Delta = 4\kappa^2(M+m)^2 - 16Mm\kappa^2(1 - \cos^2 \frac{qa}{2}) = 4\kappa^2(M-m)^2 + 16Mm\kappa^2 \cos^2 \frac{qa}{2} > 0$$

$$\omega_q^2 = \frac{1}{2Mm} \left(2\kappa(M+m) \pm \sqrt{4\kappa^2(M-m)^2 + 16\kappa^2 Mm \cos^2 \frac{qa}{2}} \right)$$

$$\omega_q^2 = \frac{\kappa}{Mm} \left[(M+m) \pm \sqrt{(M-m)^2 + 4Mm \cos^2 \frac{qa}{2}} \right]$$

We obtain two families of solutions for $\omega_q \rightarrow \omega_{q+}, \omega_{q-}$



For $q \rightarrow 0$:
$$\omega_{q\pm}^2 \approx \frac{\kappa}{Mm} \left[M+m \pm \sqrt{(M-m)^2 + 4Mm(1 - \frac{q^2 a^2}{2})} \right]$$

$$= \frac{\kappa}{Mm} \left[(M+m) \pm \sqrt{(M+m)^2 - Mm q^2 a^2} \right]$$

$$\omega_{q\pm}^2 \approx \frac{\kappa}{Mm(M+m)} \left[1 \pm \sqrt{1 - \frac{Mm}{(M+m)^2} q^2 a^2} \right] \approx$$

$$\approx \frac{\kappa}{M+m} (M+m) \left[1 \pm \sqrt{1 - \frac{Mm}{2(M+m)^2} q^2} \right]$$

$$\omega_{q+} \stackrel{q \rightarrow 0}{\approx} \sqrt{\frac{2\kappa(M+m)}{Mm}} = \text{const}$$

$$\omega_{q-} \stackrel{q \rightarrow 0}{\approx} \underbrace{\sqrt{\frac{\kappa}{2(M+m)}}}_{vs} a q = \text{const} \cdot q$$

$$b) \omega_q \in \left[0, \sqrt{\frac{2\kappa(M+m)}{Mm}} \right]$$

$$c) \text{ For } m=M \text{ we have } \omega_q^2 = \frac{\kappa}{m^2} \left[2m \pm \sqrt{4m^2 \cos^2 \frac{qa}{2}} \right]$$

$$\omega_q^2 = 2 \frac{\kappa}{m} \left(1 \pm \left| \cos \frac{qa}{2} \right| \right) \quad q \in \left] -\frac{\pi}{a}, \frac{\pi}{a} \right]$$

$$\rightarrow \omega_q^2 = \frac{2\kappa}{m} \left(1 \pm \cos \frac{qa}{2} \right)$$

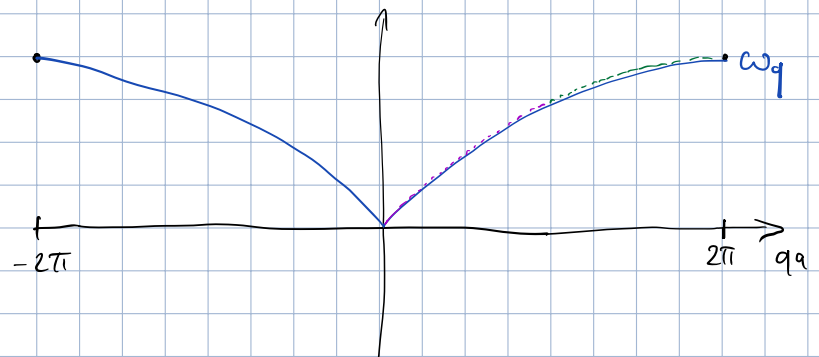
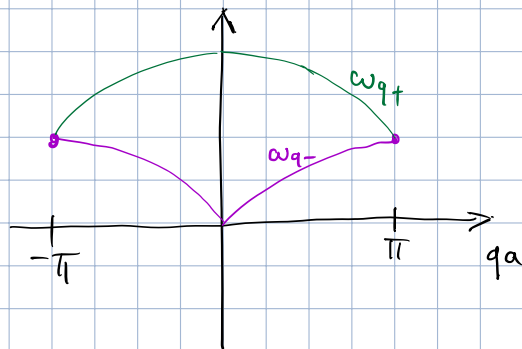
$$\omega_q^2 = \frac{2\kappa}{m} \left(1 \pm \left(\cos^2 \frac{qa}{4} - \sin^2 \frac{qa}{4} \right) \right)$$

Recall that (see the lecture)
we should recover $\omega_q^2 = 4 \frac{\kappa}{m} \sin^2 \frac{qa}{4}$ with $q \in \left] -\frac{\pi}{2a}, \frac{\pi}{2a} \right]$

$$\bullet \omega_{q-}^2 = \frac{2\kappa}{m} \left(1 - \cos^2 \frac{qa}{4} + \sin^2 \frac{qa}{4} \right) = 4 \frac{\kappa}{m} \sin^2 \frac{qa}{4}$$

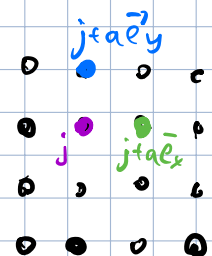
$$\bullet \omega_{q+}^2 = \frac{2\kappa}{m} \left(1 + \cos^2 \frac{qa}{4} - \sin^2 \frac{qa}{4} \right) = \frac{4\kappa}{m} \cos^2 \frac{qa}{4}$$

Compare:



For $|qa| < \pi$ ω_{q-} coincides with ω_q . For $|qa| \in [\pi, 2\pi]$ we obtain a unique correspondence between ω_q and ω_{q+} .

$$\textcircled{2} \quad \hat{H} = \sum_j \frac{\vec{p}_j^2}{2m} + \sum_{j, \vec{a}} \frac{m\omega_0^2}{2} (\phi_j - \phi_{j+\vec{a}})^2$$



We will use the diagonalization procedure by Fourier transform.