

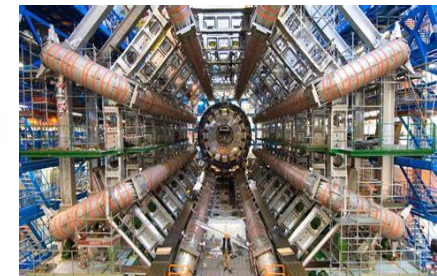
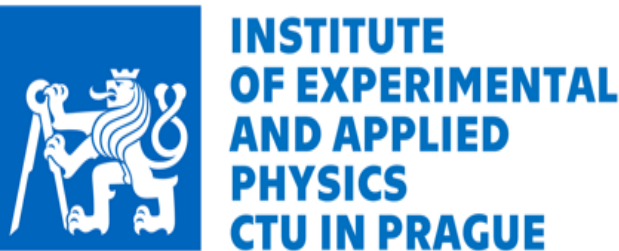
# ATLAS forward proton detectors: status, performance and physics results

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31 October 2024

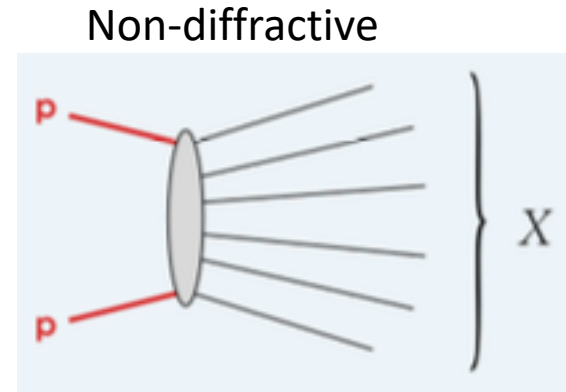
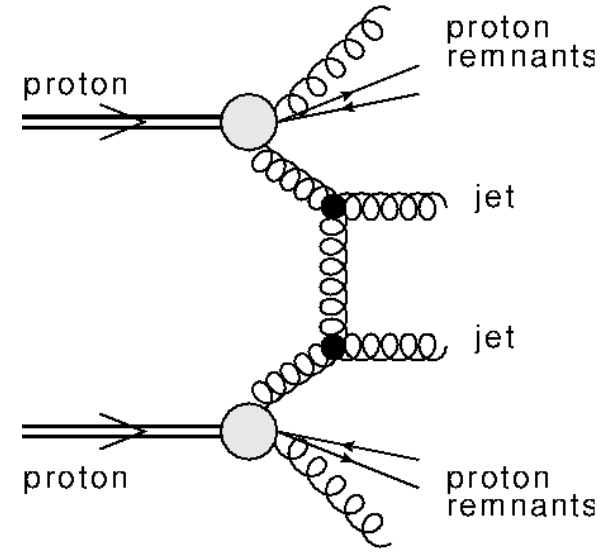
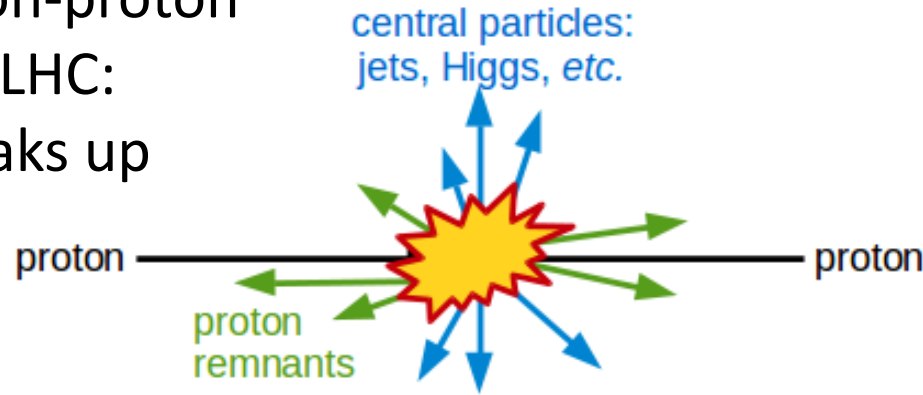


# Outline

- Physics Motivation
- Absolute Luminosity For ATLAS (ALFA), 2011-2023 operation
- ATLAS Forward Proton Detectors (AFP), since 2016 (one-side), 2017 (double)
  - Silicon Tracker (SiT) and Time of Flight (ToF)
- Trigger
- Luminosity LHC Run-3
- Data quality, hit map, track map
- SiT correlation with central ATLAS Inner Detector tracker/Calorimeters
- Alignment
- ToF efficiency, vertex matching, performance
- Matching of proton energy loss with ATLAS central di-leptons/di-photons
- Conclusions
- Supplement: ToF resolution, New control system, Proton reconstruction

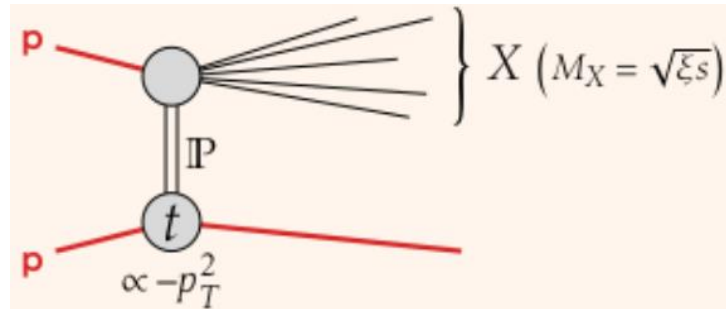
# Collisions at LHC

- Usual proton-proton collision at LHC: proton breaks up

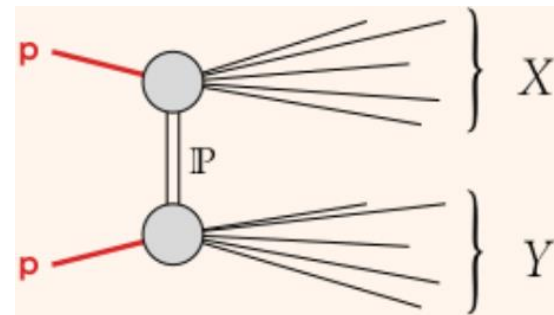


- Proton-proton interaction via photon ( $\gamma$ ), electromagnetic force, or pomeron (P) exchange, strong force: proton can remain intact

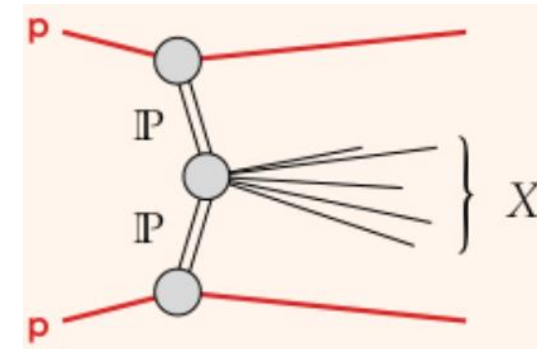
Single diffractive



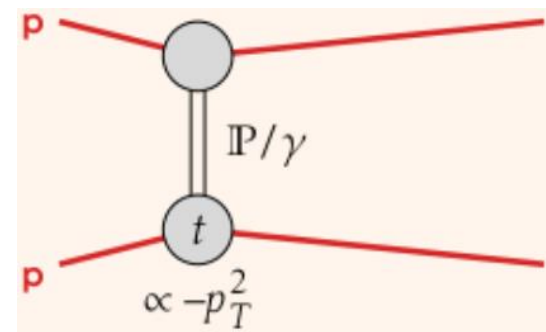
Double diffractive



Central diffractive



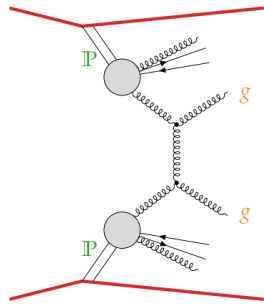
Elastic scattering



# Physics Motivation

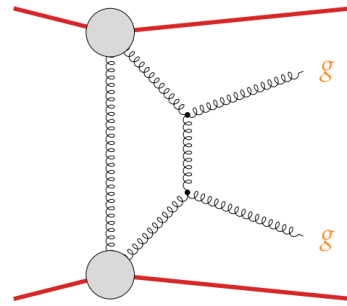
- Detection of events containing scattered intact protons
- Focused on low-cross section processes with high  $p_T$  objects

## Diffractive jets



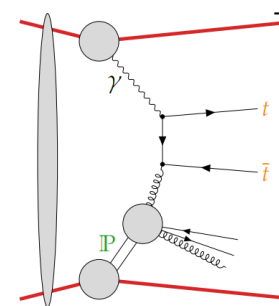
[ATL-PHYS-PUB-2017-012](#)

## Exclusive jets



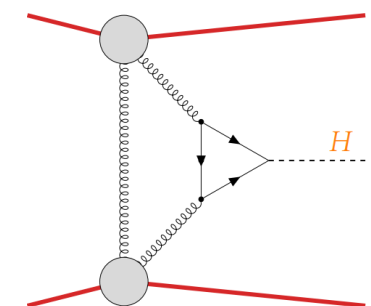
Trzebinski et al [1503.00699](#)  
Harland-Lang et al [1405.0018](#)

## Diffractive $t\bar{t}$



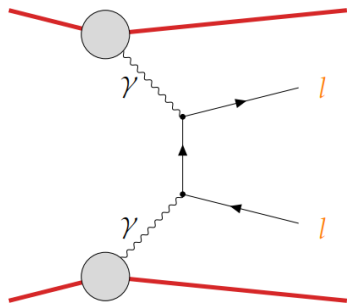
Goncalves et al [2007.04565](#)  
Howarth [2008.04249](#)

## Exclusive Higgs



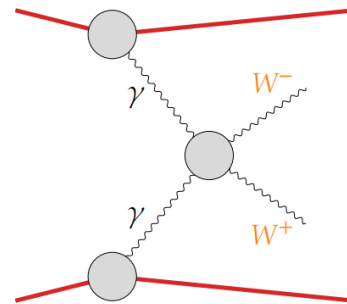
Cox et al [0709.3035](#)  
Heinemeyer et al [0708.3052](#)

## Exclusive Leptons



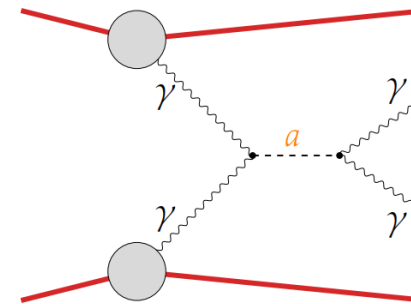
CMS [1803.04496](#)  
ATLAS [2009.14537](#)

## Exclusive W



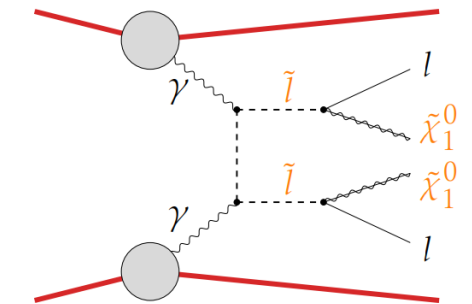
Tizchang, Etesami [2004.12203](#)  
Baldenegro et al [2009.08331](#)

## Axion-like particles



Harland-Lang & Tasevsky [2208.10526](#)  
ATLAS [2304.10953](#)

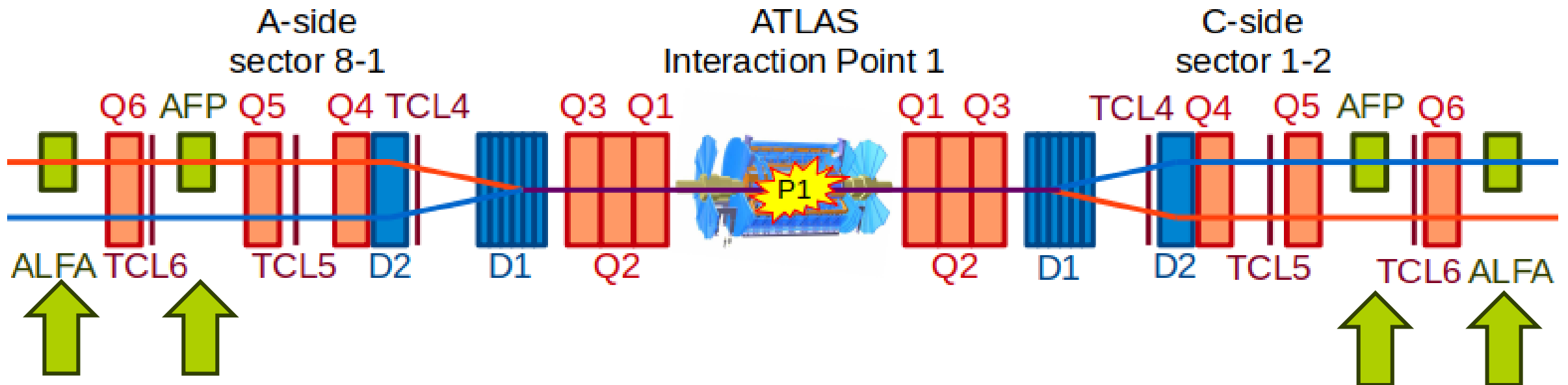
## SUSY dark matter



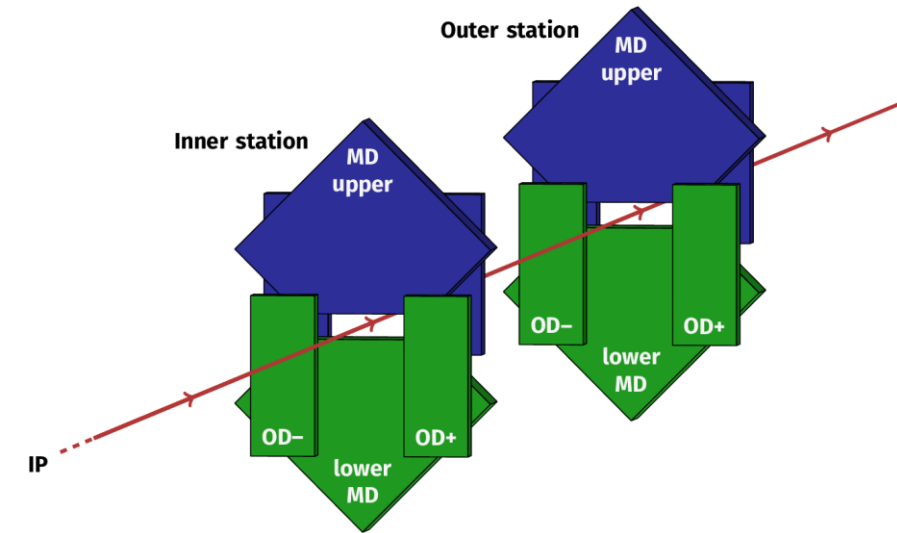
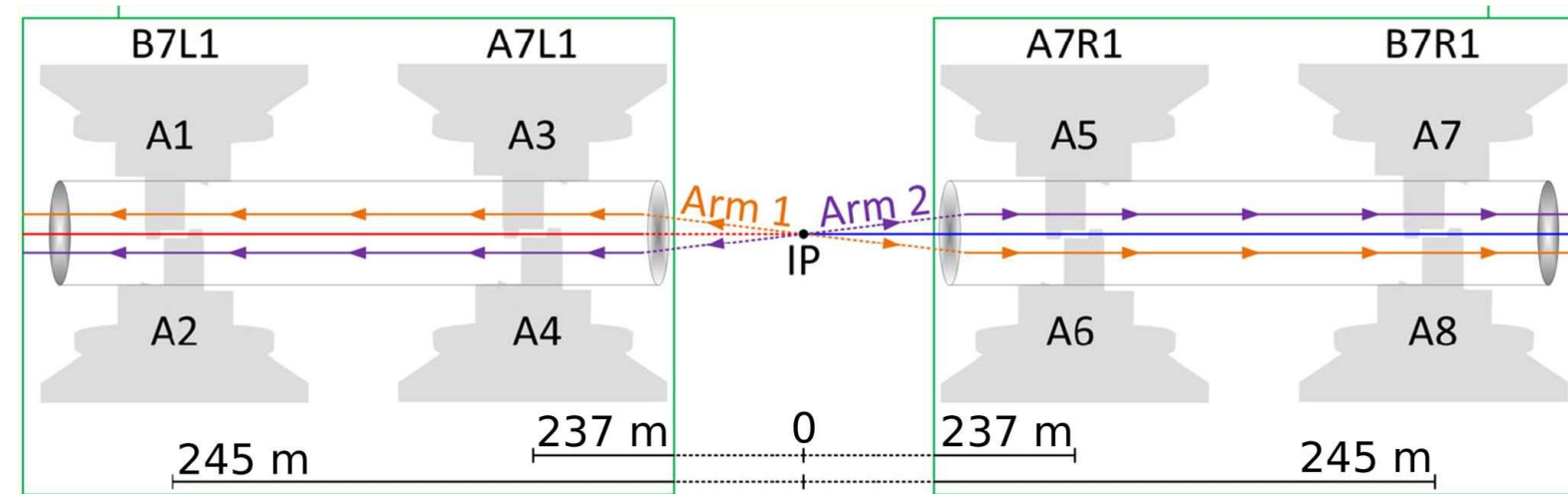
Beresford & Liu [1811.06465](#)  
Tasevsky et al [1812.04886](#)

# ATLAS Roman Pots

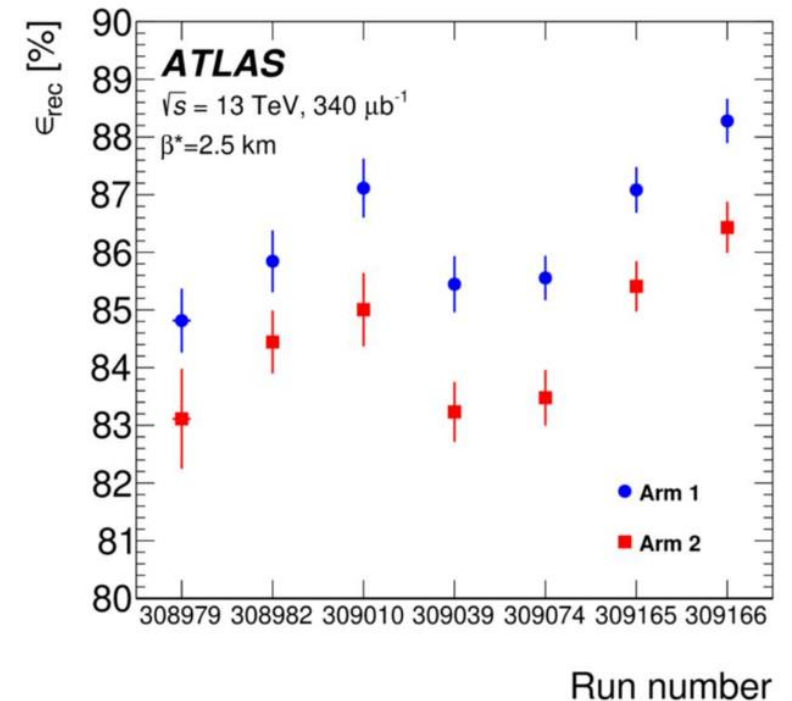
- Forward detectors - located in the LHC tunnel outside the ATLAS cavern
- Move close to the beam (1-3 mm) once “Stable Beams” are declared
- Two detector systems
  - **Absolute Luminosity For ATLAS (ALFA)**, 237 m and 245 m from IP
  - **ATLAS Forward Proton (AFP)**, 205 m and 217 m from IP



# ALFA



- **Trigger:** at least one proton each side
- **Reconstruction efficiency** is measured by a tag-and-probe method (well-measured protons on one side as tags for proton on the other side)
- **Systematics:** reconstruction efficiency uncertainty is 0.4% - 0.9%, dominated by the evaluation of accidental coincidences and uncertainties in backgrounds, and t-dependent effects.
- **Tracking accuracy** is dominated by the global vertical distance uncertainty (after alignment) of  $\pm 22$  microns.



# ALFA Data-Taking

Year	$\beta^*$	$\sqrt{s}$ [TeV]	Comments
2011	90 m	7	elastics: NPB 889 (2014) excl. $\pi^+\pi^-$ : EPJC 83 (2023) 627
2012	90 m	8	elastics: PLB 761 (2016) single diff.: JHEP 02 (2020) 042
2012	1 km	8	elastics dataset
2013	0.8 m	2.76	proton-lead dataset
2013	0.8 m	2.76	proton-proton reference dataset
2015	90	13	diffractive dataset
2016	2.5 km	13	elastics: EPJC 83 (2023) 441
2018	90 m	13	elastic (large $t$ ) and diff. datasets
2018	11 m	0.9	elastics (large $t$ ) dataset
2018	50/100m	0.9	elastics dataset
2023	3/6 km	13.6	elastics dataset

- ALFA did not run with high LHC luminosity because the detector is radiation-sensitive.
- Special beam conditions were required.
- Standard high-luminosity LHC running used beams focused to a small region at the ATLAS interaction point. Protons at different angles were focused together and emerge in a broad beam.
- ALFA running needed to measure  $pp \rightarrow pp$  elastic cross sections down to low scattering angles  $\theta$ . Outgoing protons at different  $\theta$  were detected at different positions  $y$  at ALFA.
- Thus, “parallel to point” vertical focusing from the IP requires large values of the beam parameter  $\beta^*$  at the IP.
- This implies larger beam size at IP and therefore low pile-up needed for these measurements.

# ALFA Results

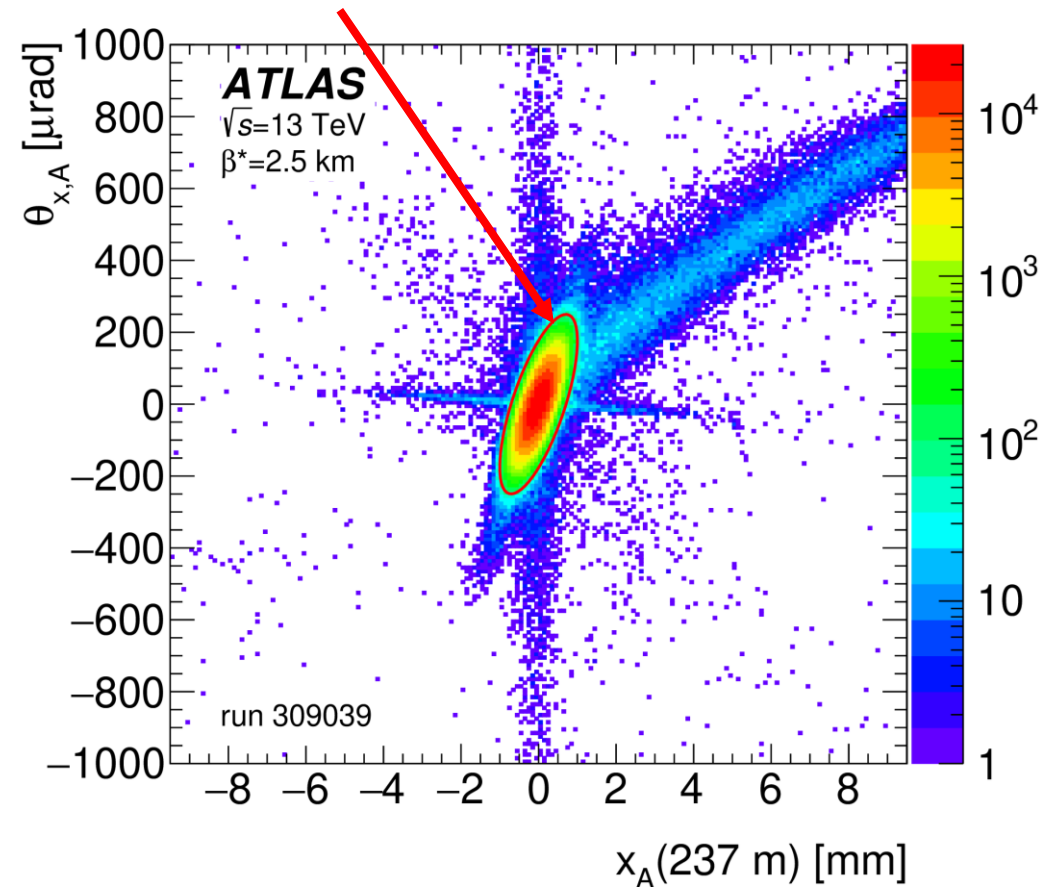
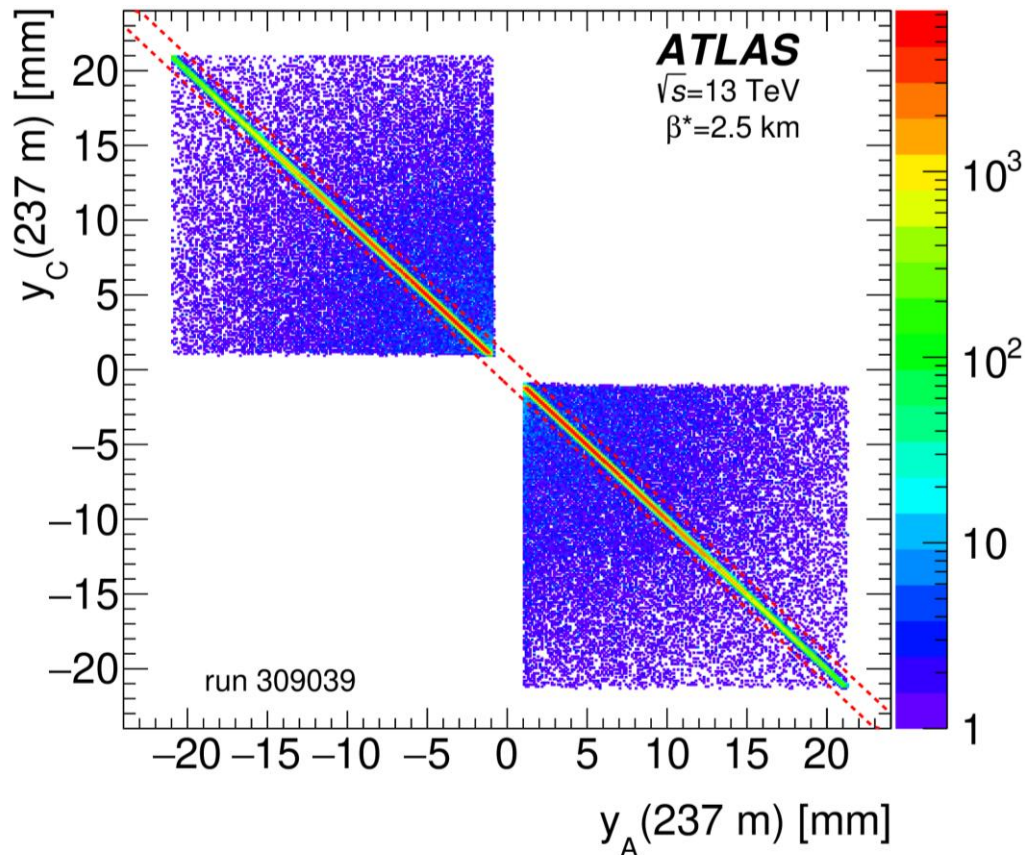
- Measurement of the total cross section from elastic scattering in pp collisions at  $\sqrt{s} = 7$  TeV with the ATLAS detector, Nucl. Phys. B (2014) 486.  $\sigma_{\text{tot}} = 95.35 \pm 1.36$  mb  
One dedicated run at  $\beta^* = 90$  m, integrated luminosity  $80 \mu\text{b}^{-1}$
- Measurement of the total cross section from elastic scattering in pp collisions at  $\sqrt{s} = 8$  TeV with the ATLAS detector, Phys. Lett. B 761 (2016) 158.  $\sigma_{\text{tot}} = 96.07 \pm 0.92$  mb  
One dedicated run at  $\beta^* = 90$  m, integrated luminosity  $500 \mu\text{b}^{-1}$
- Measurement of differential cross sections for single diffractive dissociation in  $\sqrt{s} = 8$  TeV pp collisions using the ATLAS ALFA spectrometer, JHEP 2020 (2020) 42.  
One dedicated run at  $\beta^* = 90$  m, integrated luminosity  $500 \mu\text{b}^{-1}$
- Measurement of the total cross-section and  $\rho$ -parameter from elastic scattering in pp collisions at  $\sqrt{s} = 13$  TeV with the ATLAS detector, Eur. Phys. J. C 83 (2023) 441.  
Seven dedicated runs at  $\beta^* = 2500$  m, total integrated luminosity  $340 \mu\text{b}^{-1}$
- Measurement of exclusive pion pair production in proton–proton collisions at  $\sqrt{s} = 7$  TeV with the ATLAS detector. Eur. Phys. J. C 83 (2023) 627.  
One dedicated run at  $\beta^* = 90$  m, integrated luminosity  $80 \mu\text{b}^{-1}$ .



Measurement of the total cross-section and p-parameter from elastic scattering in pp collisions at  $\sqrt{s} = 13$  TeV with the ATLAS detector, Eur. Phys. J. C 83 (2023) 441

Selection of elastic pp events in ALFA

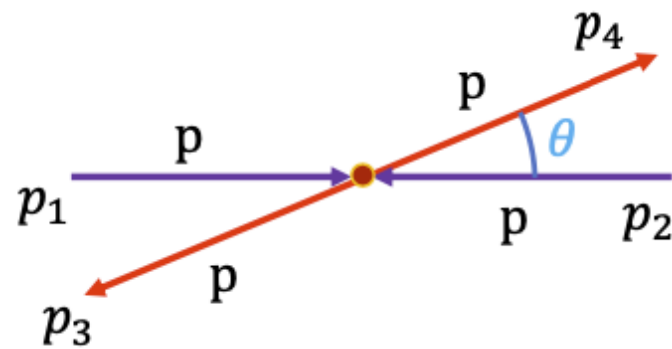
- Quality cuts on the two proton tracks in the two ALFA stations
- Geometric acceptance cuts: Select back-to-back events, as indicated.
- Selection on  $x$  vs  $\theta_{x,A}$ : Elastic events are within the ellipse.



# Background levels, Eur. Phys. J. C 83 (2023) 441

Sources of background:

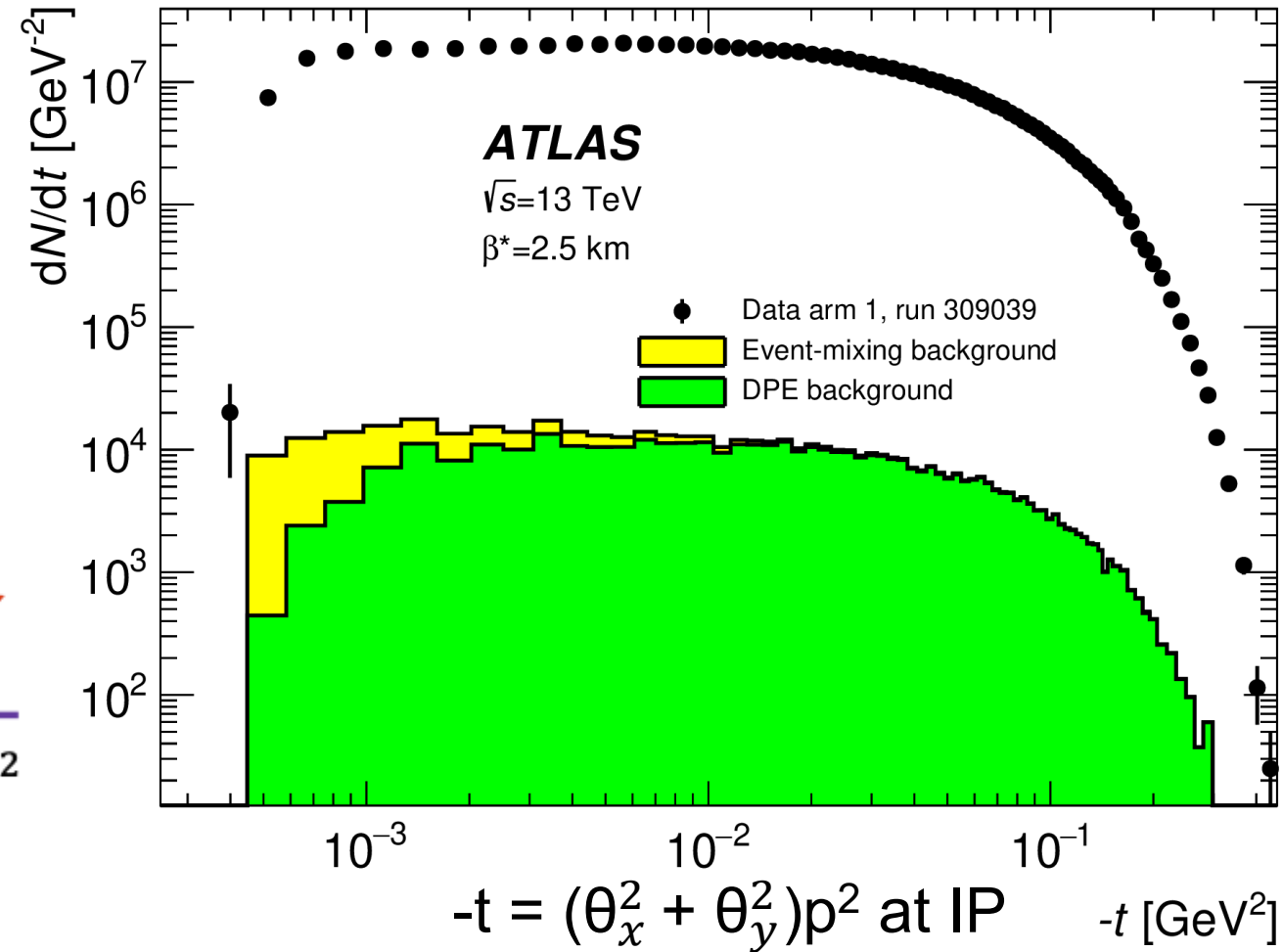
- accidental halo+halo and halo+SD coincidences (data-driven, determined with an event-mixing method)
- central diffraction (MC simulation), double-Pomeron exchange (DPE),  $pp \rightarrow pp + X$



Mandelstam variables, invariants

$$s = (p_1 + p_2)^2$$

$$t = (p_1 - p_4)^2 \cong -(p_0 \theta)^2, |\vec{p}_1| = |\vec{p}_2| = |\vec{p}_4| = p_0$$



Reconstruct  $t$  from beam optics and event kinematics using tracking of effective beam optics.

# Evaluation of results, Eur. Phys. J. C 83 (2023) 441

$$\frac{d\sigma}{dt_i} = \frac{1}{\Delta t_i} \times \frac{\mathcal{M}^{-1} [N_i - B_i]}{A_i \times \epsilon_{\text{rec}} \times \epsilon_{\text{trig}} \times \epsilon_{\text{DAQ}} \times L_{\text{int}}}$$

$\Delta t_i$  is the bin width,

$\mathcal{M}^{-1}$  represents the unfolding procedure applied to the background-subtracted

number of events  $N_i - B_i$ ,

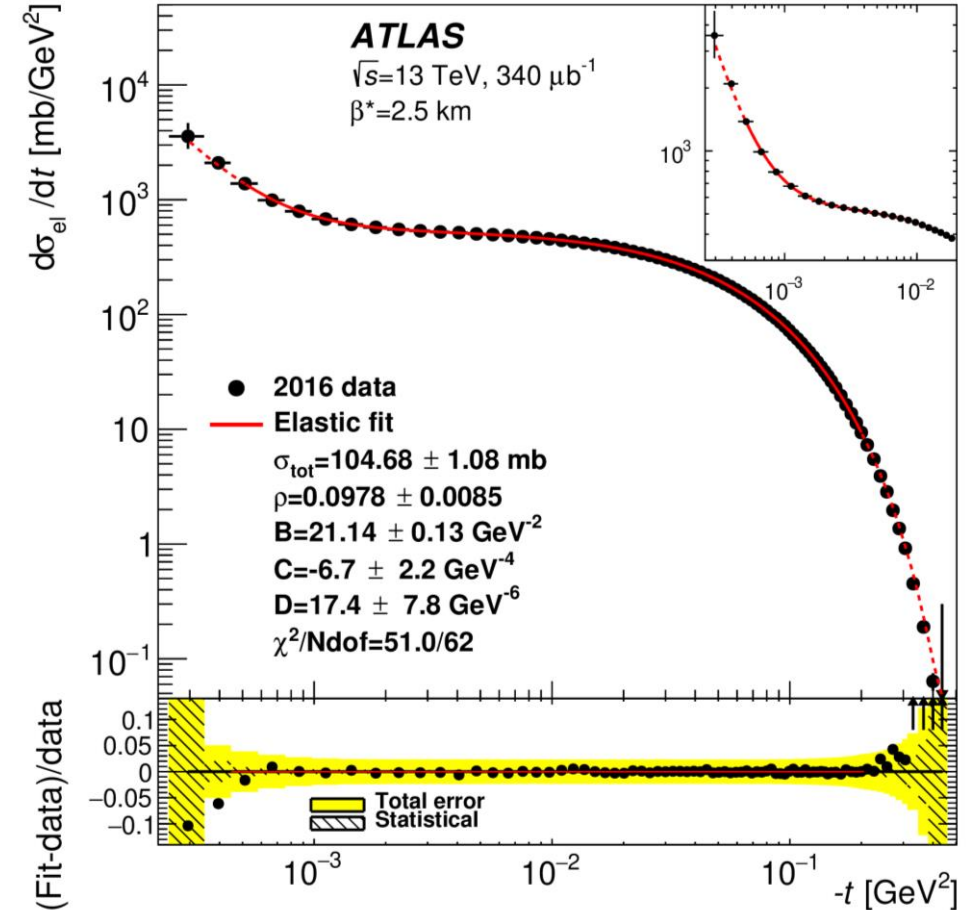
$A_i$  is the acceptance,

$\epsilon_{\text{rec}}$  is the event reconstruction efficiency,

$\epsilon_{\text{trig}}$  is the trigger efficiency,

$\epsilon_{\text{DAQ}}$  is the dead-time correction and  $L_{\text{int}}$  is the integrated luminosity used.

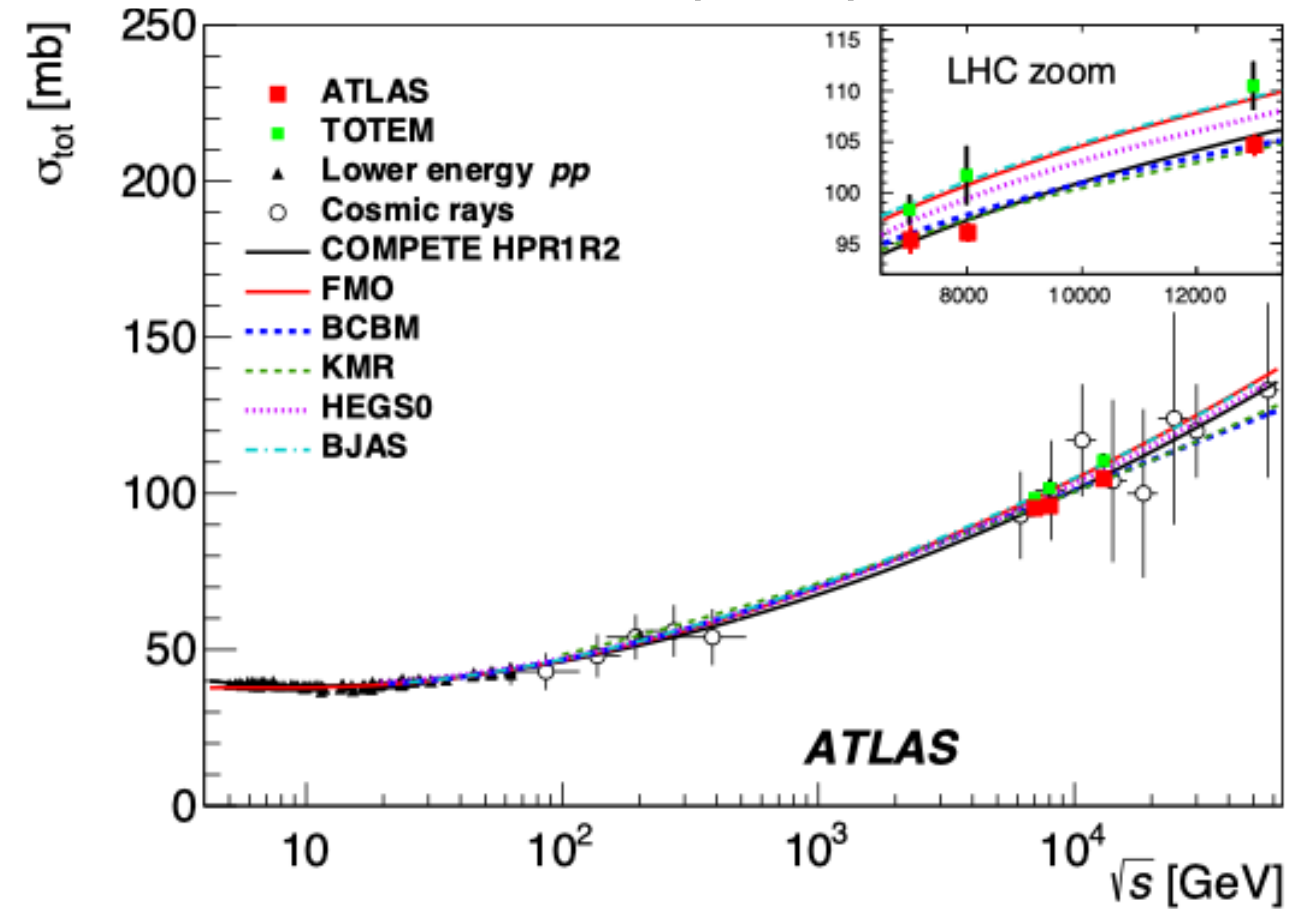
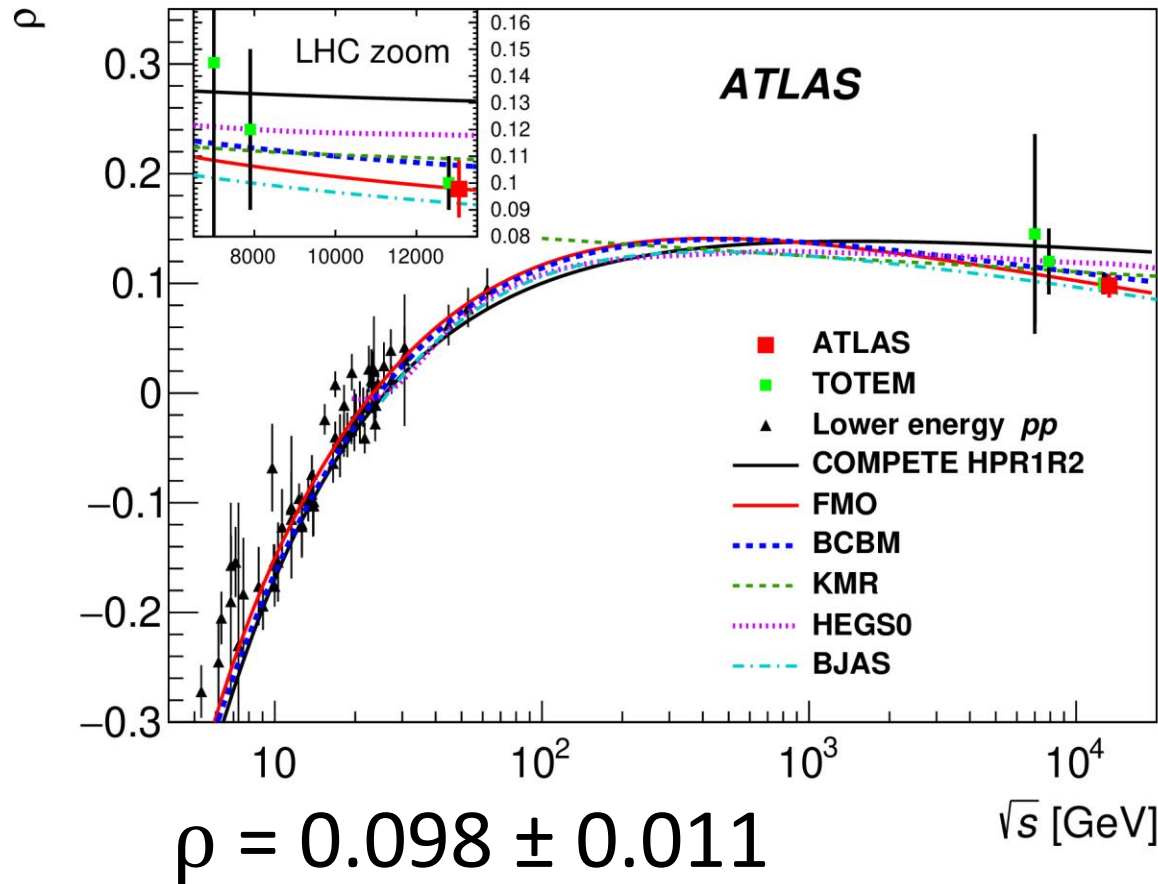
Fit: full  $BCD$ -model with validity up to  $-t = 0.2 \text{ GeV}^2$



$$\begin{aligned} \frac{d\sigma}{dt} &= \frac{4\pi\alpha^2(\hbar c)^2}{|t|^2} \times G^4(t) \\ &- \sigma_{\text{tot}} \times \frac{\alpha G^2(t)}{|t|} [\sin(\alpha\phi(t)) + \rho \cos(\alpha\phi(t))] \times e^{-\frac{B|t|-Ct^2-D|t|^3}{2}} \\ &+ \sigma_{\text{tot}}^2 \frac{1+\rho^2}{16\pi(\hbar c)^2} \times e^{(-B|t|-Ct^2-D|t|^3)}, \end{aligned}$$

# Inelastic, elastic and total cross-section, Eur. Phys. J. C 83 (2023) 441

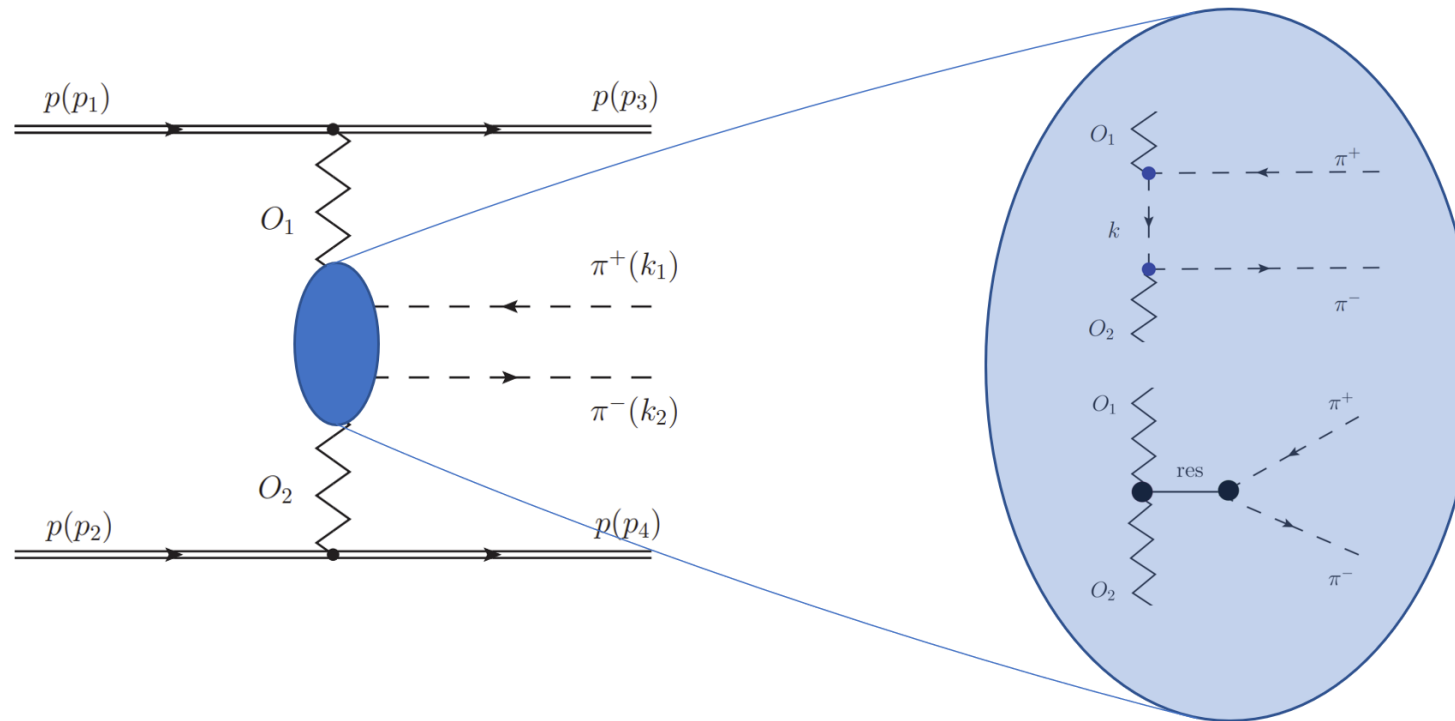
Elastic scattering is a low- $p_T$  process, and a perturbative expansion cannot be applied. Therefore,  $\sigma_{\text{tot}}$  and the  $\rho$ -parameter cannot be calculated from first principles in QCD.



( $\rho$ -parameter defined as the ratio of the real part to the imaginary part of the elastic-scattering amplitude in the limit  $t \rightarrow 0$ )

$$\sigma_{\text{tot}}(pp \rightarrow X) = 104.68 \pm 1.08 \text{ (exp.)} \pm 0.12 \text{ (th.) mb}^{12}$$

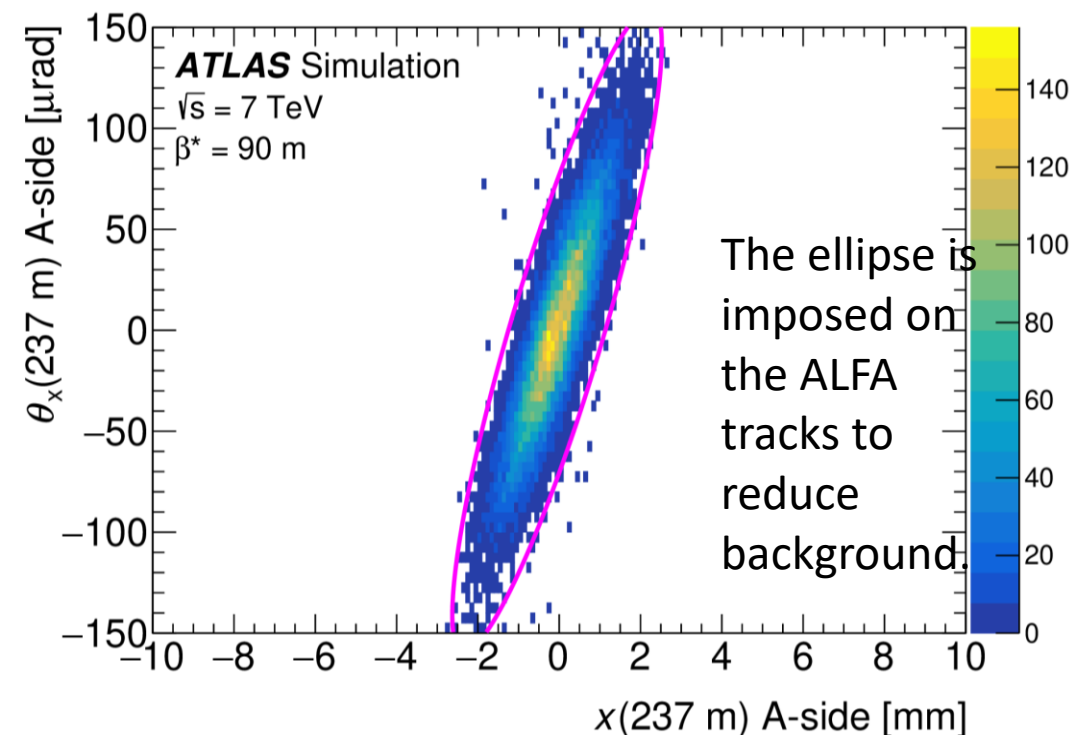
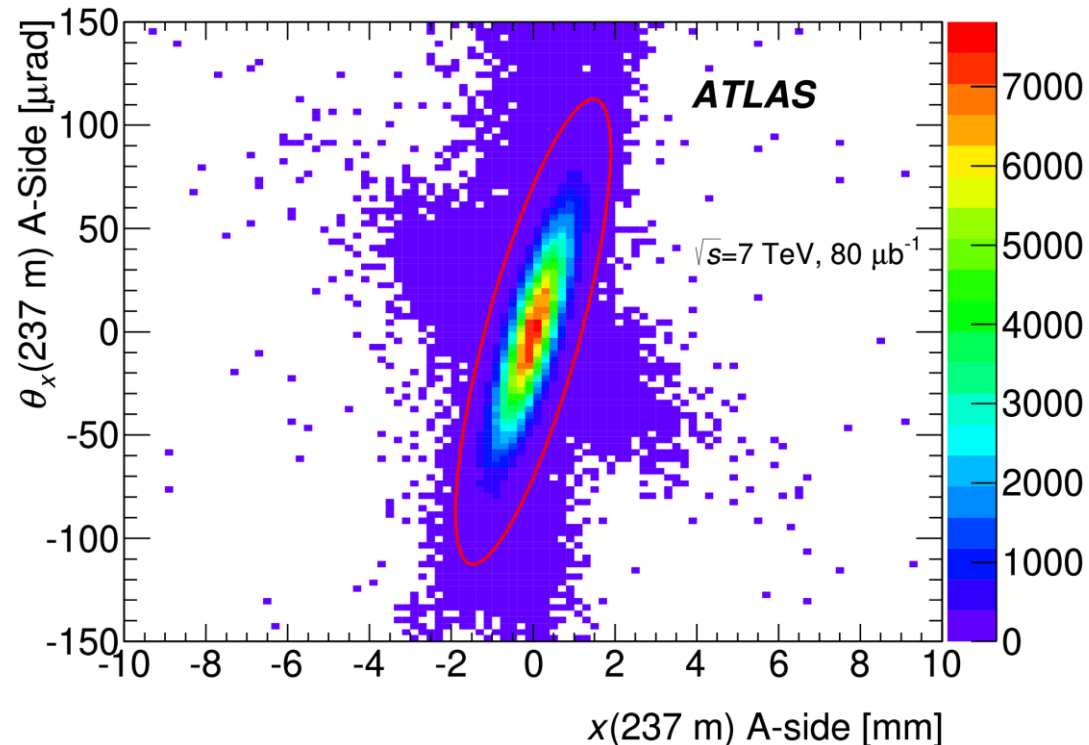
Measurement of exclusive pion pair production in proton-proton collisions at  $\sqrt{s} = 7$  TeV with the ATLAS detector, Eur. Phys. J. C 83 (2023) 627.



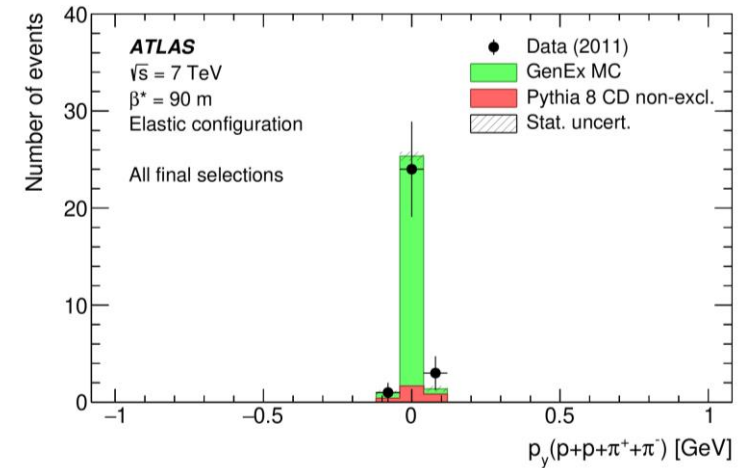
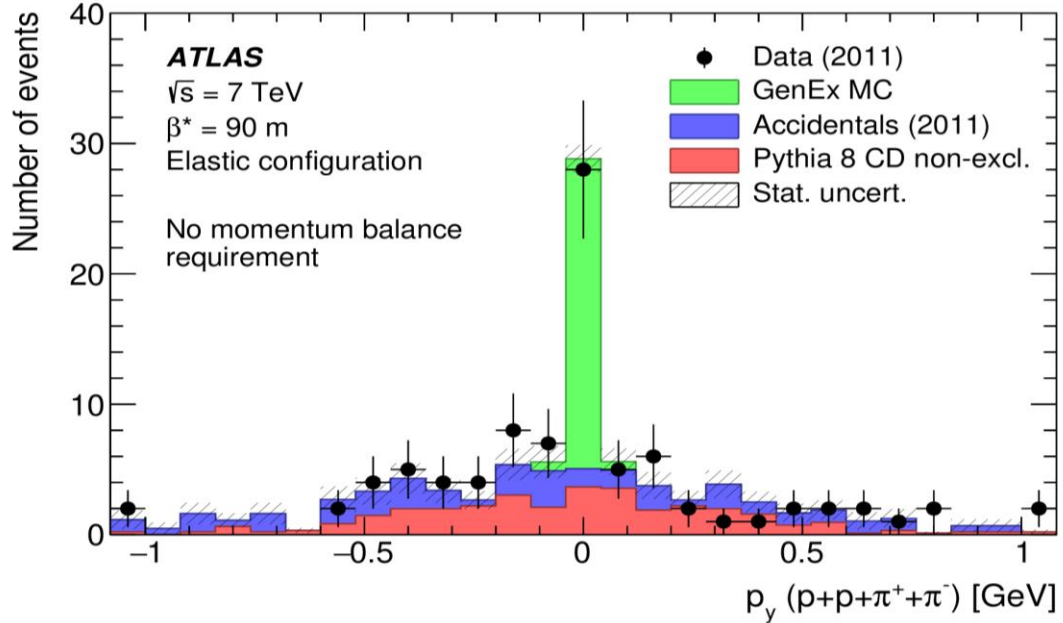
- **Trigger:** Elastic - ALFA coincidence of detectors in an elastic combination. Anti-elastic – signal in any ALFA detector, prescaled by 15
- **In ALFA detectors:** One good quality track on each side
- **In ATLAS Inner Detector:** Two oppositely charged tracks, taken as pions,  $|\eta(\pi)| < 2.5$ ,  $p_T(\pi) > 0.1$  GeV and quality requirements on the pion tracks

# Further requirements, Eur. Phys. J. C 83 (2023) 627

- **MBTS veto:** At most one hit in the combined inner MBTS scintillators (at  $z = \pm 3.6\text{m}$ ,  $2.1 < |\eta| < 3.8$  , to remove diffractive-dissociative and non-diffractive events.
- **Overall momentum balance:**  $pp\pi^+\pi^-$  momentum balance in x and in y consistent with zero ( $\pm 3.5\sigma$ )
- **Track condition:** Track must have sufficient hits in MD layers, with limit on number of multiple hits in a layer



# Results, Eur. Phys. J. C 83 (2023) 627



- The cuts are very effective at removing background
- A cut on the MBTS counts was essential.
- Low statistics from this short run in 2011 at 7 TeV (4 hours at high  $\beta^*$ ,  $\mu = 0.035$ ).
- Feasibility of the measurement has been demonstrated.

Source of uncertainty	Uncertainty [%]	
	elastic	anti-elastic
Trigger efficiency $\epsilon_{\text{trig}}$	$\pm 0.1$	$\pm 0.3$
Background determination	$\pm 3.5$	$\pm 3.5$
Signal and background corrections:		
Beam energy	$\pm 0.1$	$\pm 0.1$
ID material	+4.8	+4.1
Veto on MBTS signal	$\pm 1.3$	$\pm 2.0$
ALFA single-track selection	$\pm 0.9$	$\pm 0.9$
ALFA reconstruction efficiency	$\pm 0.9$	$\pm 0.8$
ALFA geometry selection	$\pm 0.5$	$\pm 0.5$
Optics	$\pm 1.1$	$\pm 1.0$
Overall systematic uncertainty	+6.4 -4.2	+6.0 -4.4
Statistical uncertainty	$\pm 21.2$	$\pm 61.6$
Theoretical modelling	$\pm 2.8$	$\pm 8.0$
Luminosity	$\pm 1.2$	$\pm 1.2$

# ALFA outlook

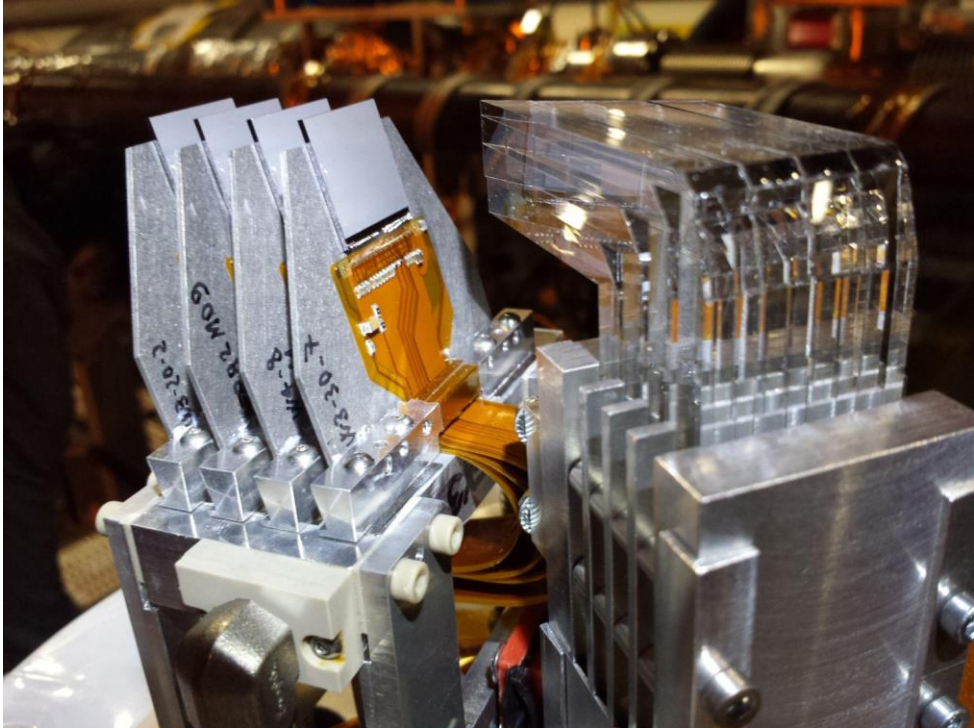
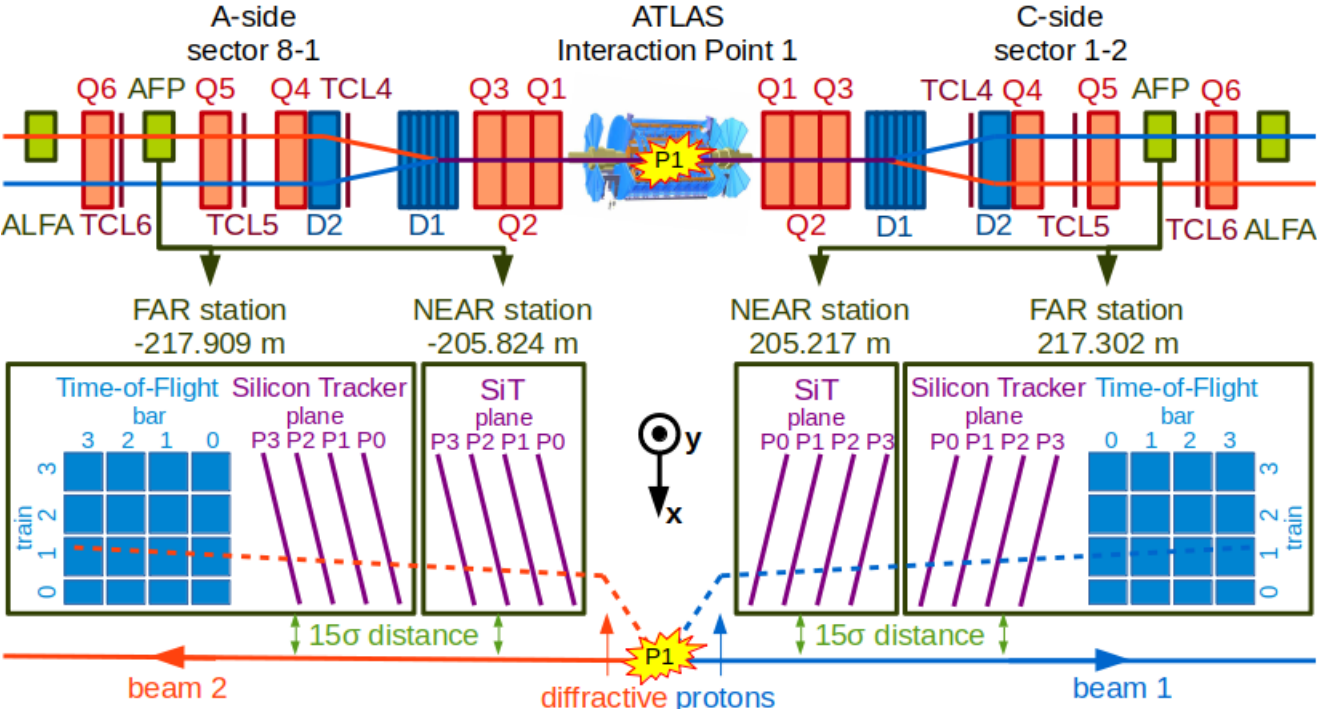
## Future data analyses:

- Final run with ALFA completed in LHC Run-3 (2023) using  $\beta^* = 3/6$  km. Improved  $\rho$  measurement for total cross section and parameters of elastic pp scattering.
- Exclusive pion pair analysis using Run-2 dataset:
  - resonance analysis
  - possible glueball search
  - possible search for other exclusive final states
- Data at 900 GeV (2018)  $\beta^* = 50/100$  m for total cross section and  $\rho$
- High-luminosity  $0.5 \text{ nb}^{-1}$  at 13 TeV,  $\beta^* = 90$  m for study of dip/bump in t distribution.



# ATLAS Forward Proton (AFP) detector

- Two stations on each side of ATLAS
- All stations host Silicon Tracker (SiT)
- Far stations host also Time-of-Flight (ToF) detector



# Silicon Tracker (SiT)

- Position measurement of scattered protons
  - Reconstruction of its kinematics
- 4 silicon pixel sensors
  - Spaced 9 mm apart
  - Each sensor 336x80 pixels
  - Pixel size 50x250  $\mu\text{m}^2$
  - Sensor size 16.8x20  $\text{mm}^2$
- Read out by FE-I4B chips
  - Same as ATLAS Pixel IBL
- 14° angle wrt. beam axis
  - To improve reconstruction resolution
    - ~6  $\mu\text{m}$  in x and ~30  $\mu\text{m}$  in y



# LHC Run-3 data-taking

Total in LHC Run-3 so far:

AFP recorded: 84.4 fb<sup>-1</sup>

89.7% wrt ATLAS recorded

83.8% wrt LHC delivered

2022 at  $\sqrt{s} = 13.6$  TeV

AFP recorded: 34.1 fb<sup>-1</sup>

95.5% wrt. ATLAS recorded

88.6% wrt. LHC delivered

2023 at  $\sqrt{s} = 13.6$  TeV

AFP recorded: 26.1 fb<sup>-1</sup>

87.9% wrt. ATLAS recorded

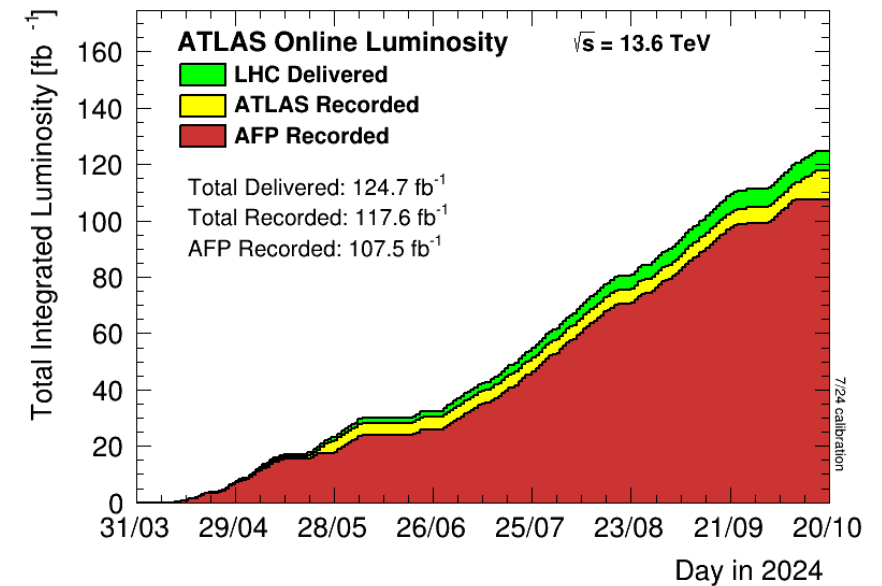
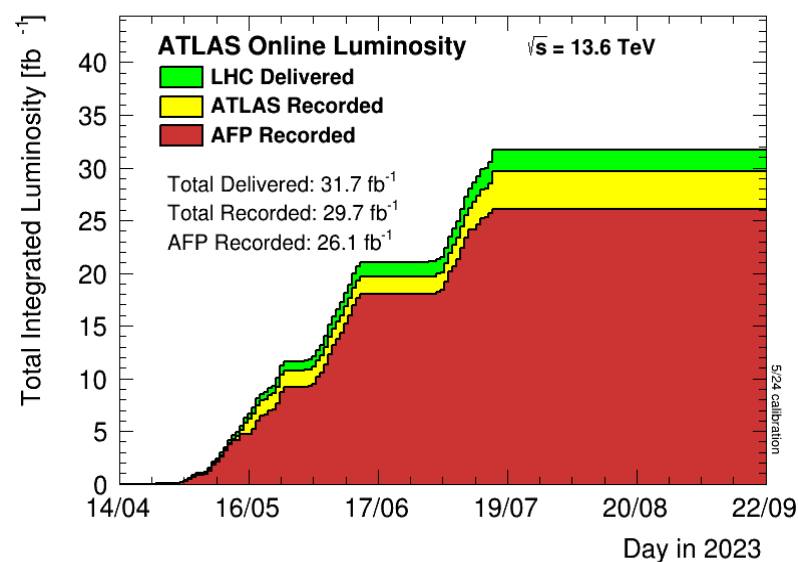
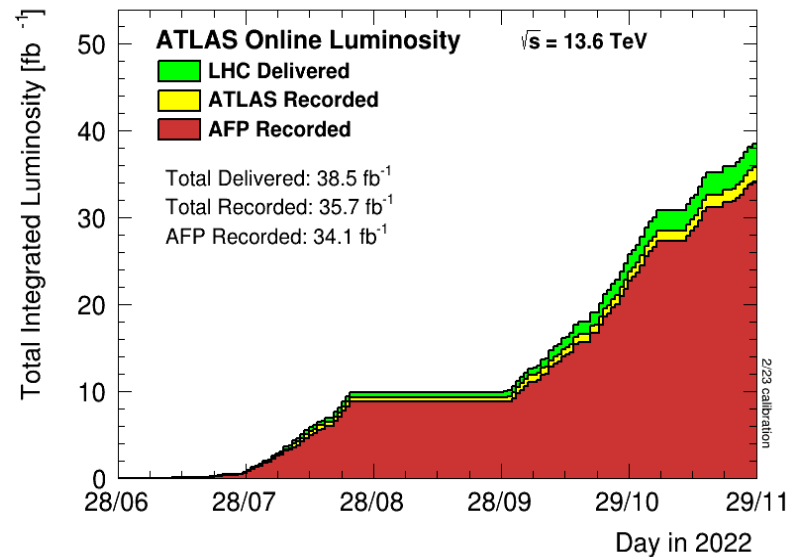
82.3% wrt. LHC delivered

First half of 2024 at  $\sqrt{s} = 13.6$  TeV

AFP recorded: 107.5 fb<sup>-1</sup>

91.4% wrt. ATLAS recorded

86.2% wrt. LHC delivered



# Data Quality results

Fraction of good luminosity after Data Quality wrt. ATLAS:

	2022*	2023** preliminary
All of AFP	83.4 %	76.4 %
Silicon Tracker only	92.5 %	81.4 %
A side Silicon Tracker only	96.8 %	84.5 %
C side Silicon Tracker only	93.7 %	82.1 %
Time-of-Flight only	83.6 %	77.7 %

\*based on Good Run List for analyses relying on jet, met or b-jet triggers

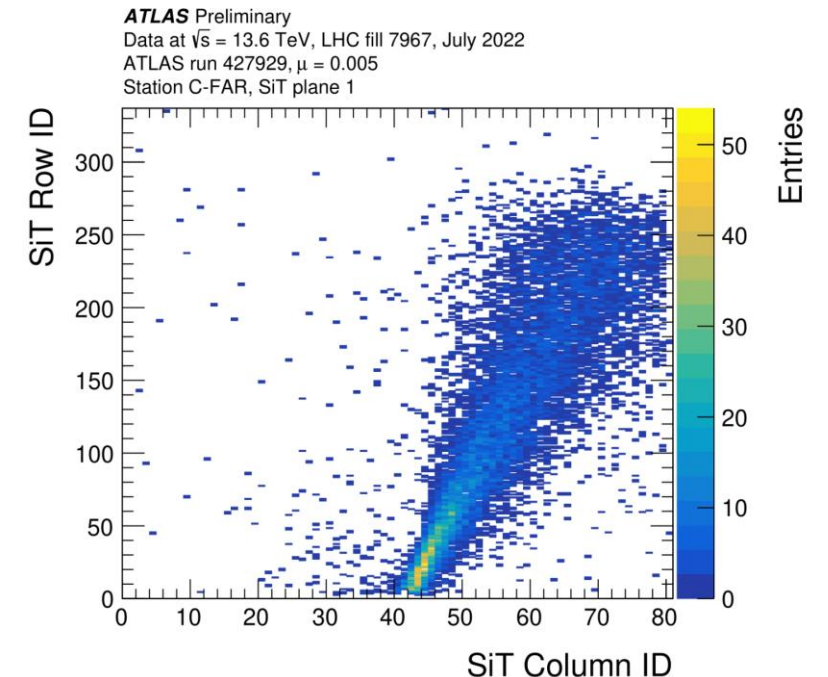
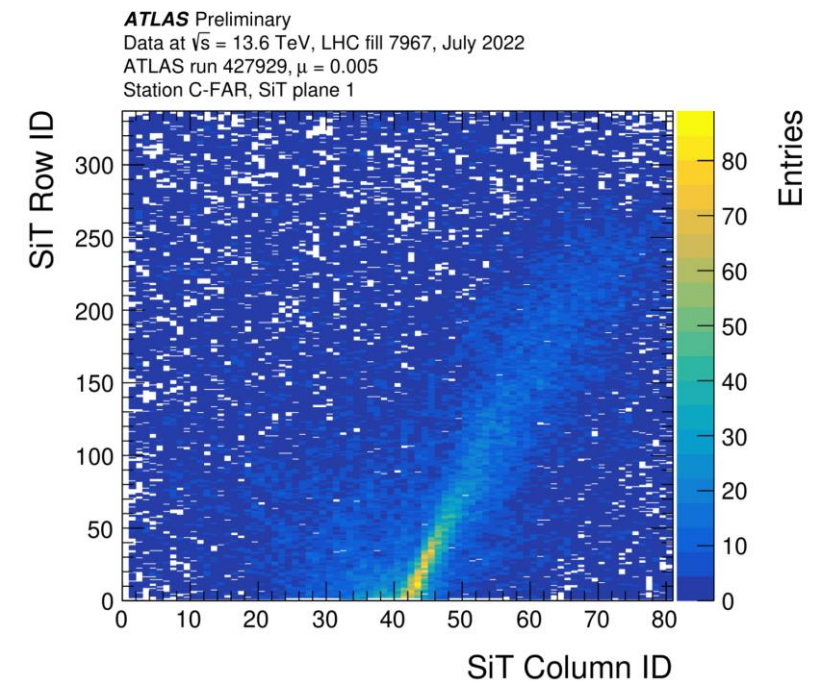
([data22\\_13p6TeV.periodAllYear\\_DetStatus-v109-pro28-04\\_MERGED\\_PHYS\\_StandardGRL\\_All\\_Good\\_25ns](#))

\*\*based on Good Run List for analyses relying on jet triggers at L1 or HLT

([data23\\_13p6TeV.periodAllYear\\_DetStatus-v110-pro31-06\\_MERGED\\_PHYS\\_StandardGRL\\_All\\_Good\\_25ns](#))

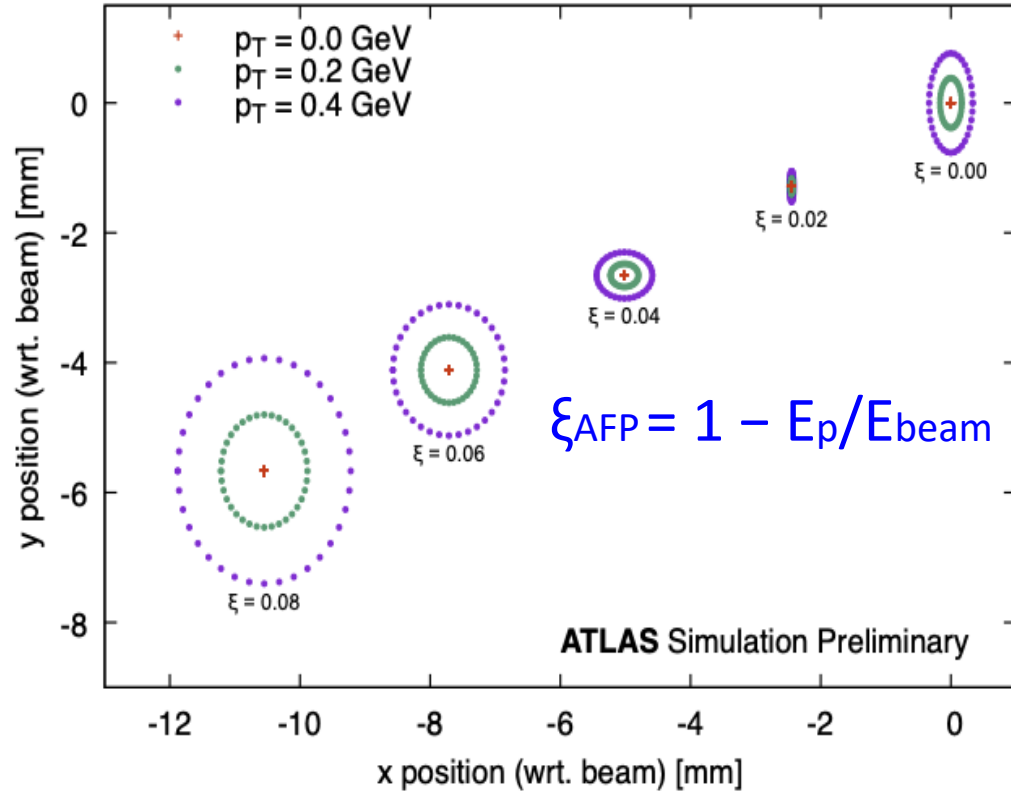
# SiT Hit Map

- First 1.5 M events of run 427929 (LBs 200-206)
- Top: Raw distribution of hits in a single SiT plane
- Bottom: Effect of signal cleaning
  - Single track reconstructed per station
  - Single cluster reconstructed per plane
  - Only 1 or 2 hits recorded per plane
- “Diffractive pattern”
  - Caused by settings of LHC magnet between ATLAS interaction point and AFP detectors

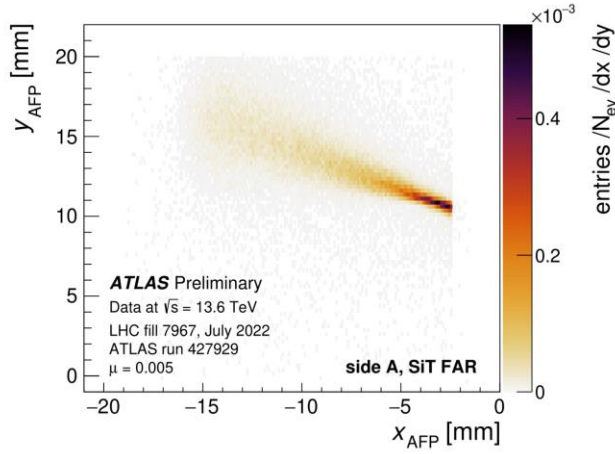


# SiT Track Map

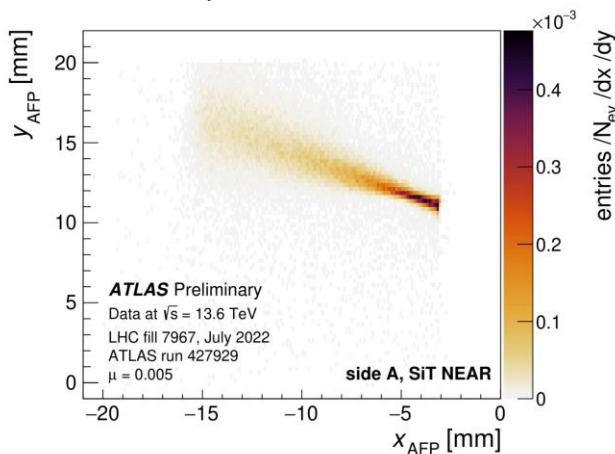
- Distribution of reconstructed tracks
- Center of beam pipe at (0, 10 mm)
- Selection:
  - Events triggered by Minimum-Bias Trigger Scintillators (MBTS)
  - Reconstructed primary vertex
  - Single track in each station on a given side
- Expected relation of scattered proton's x-position in SiT to energy lost  $\xi_{AFP}$  in the interaction due to LHC magnetic field



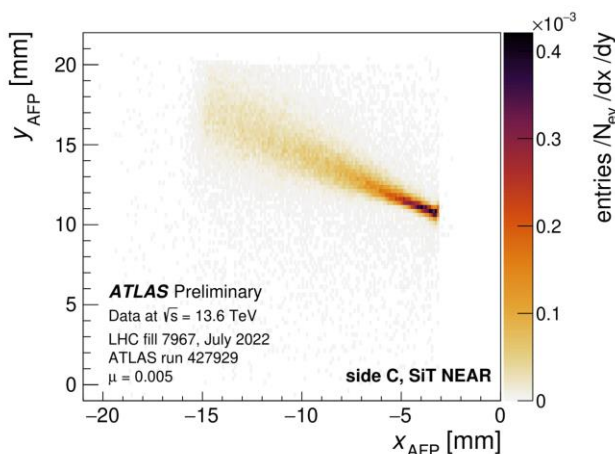
Side A, Far



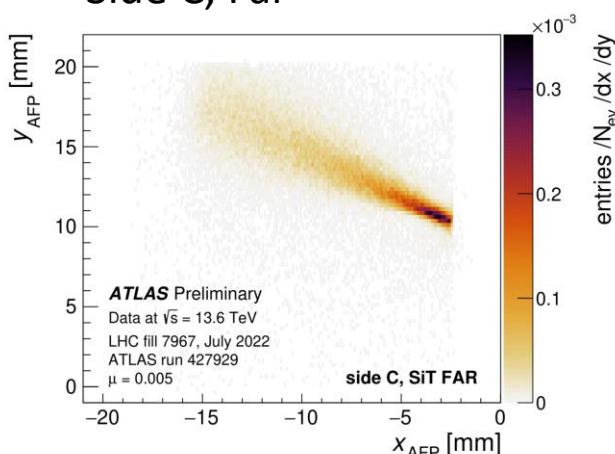
Side A, Near



Side C Near

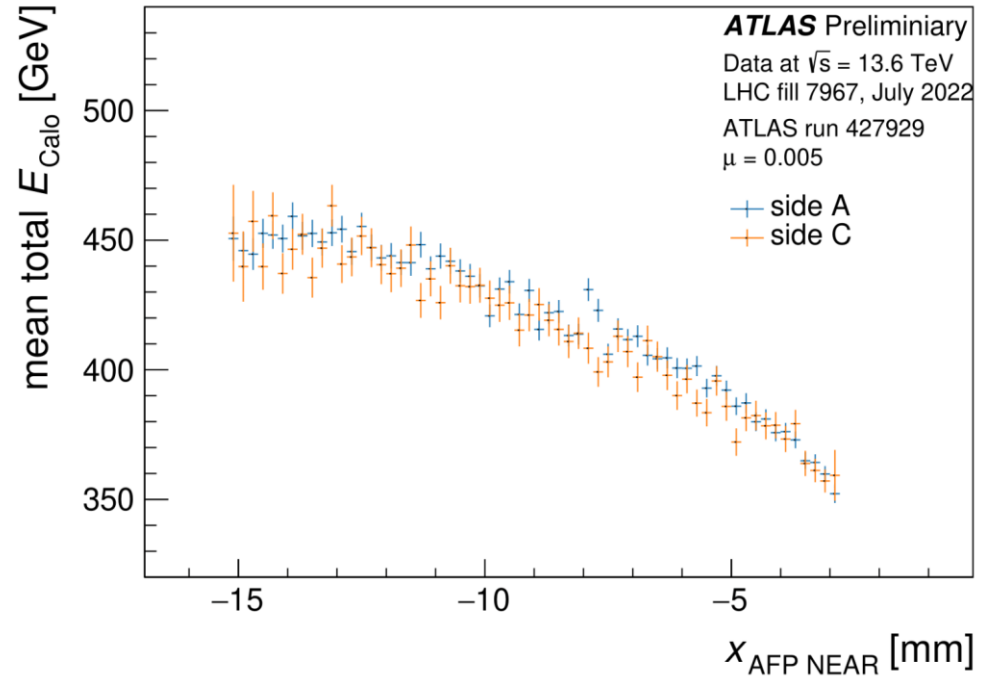
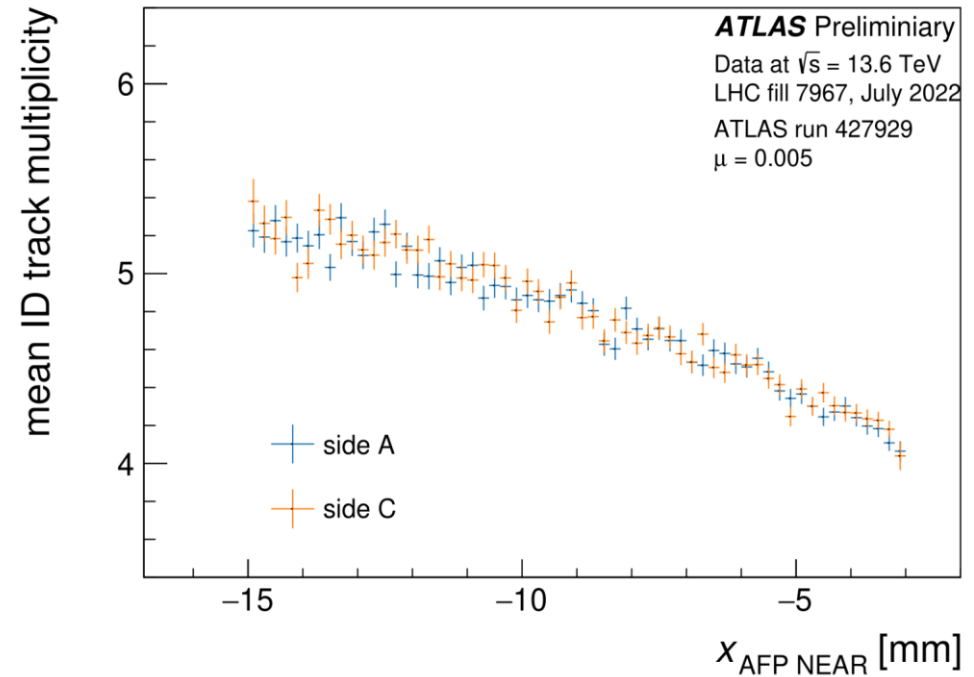


Side C, Far



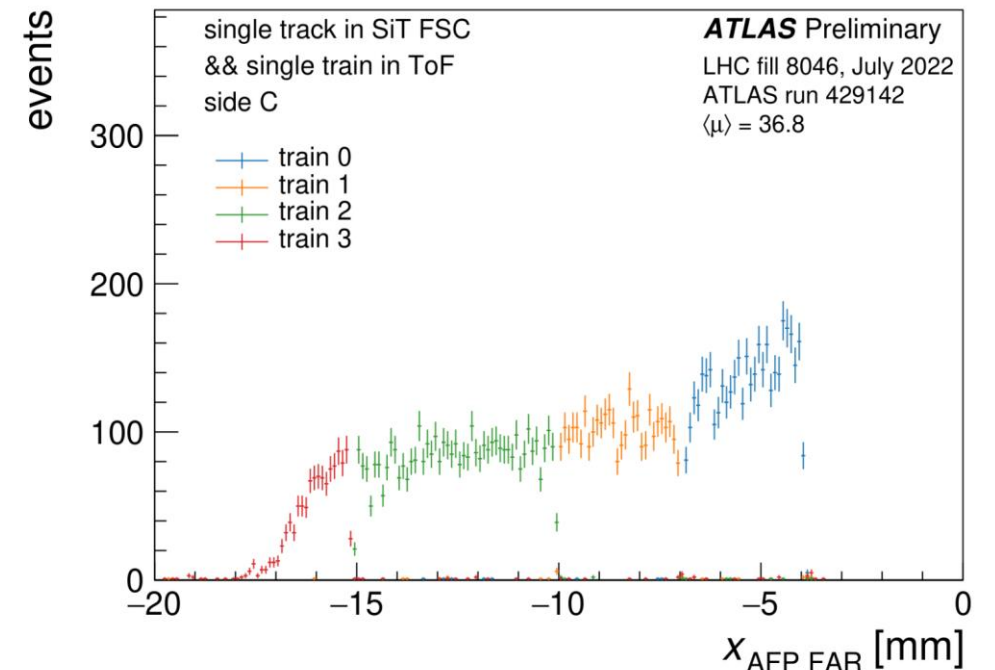
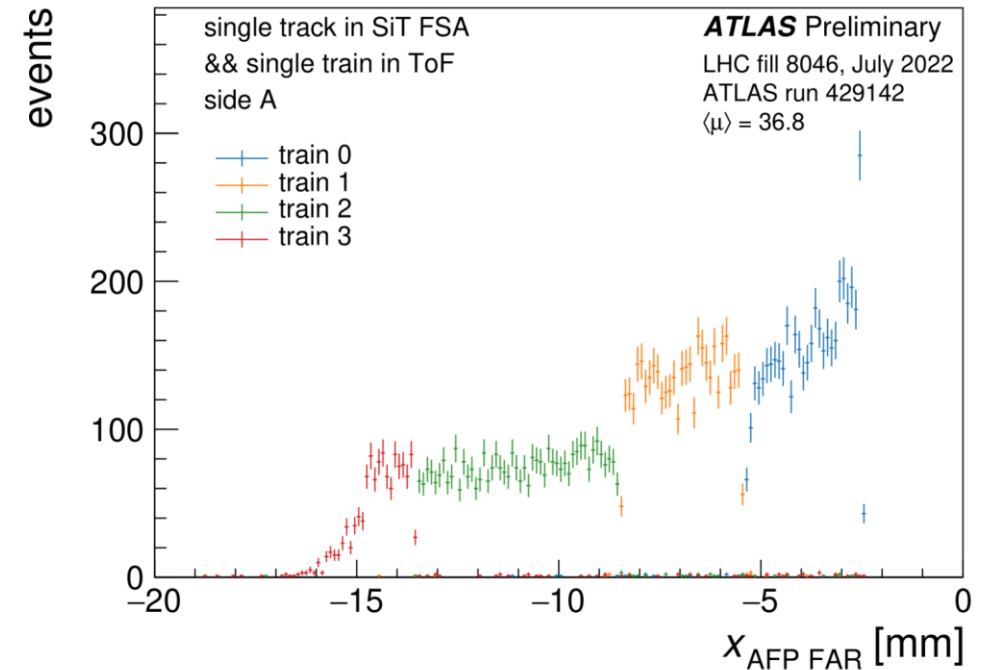
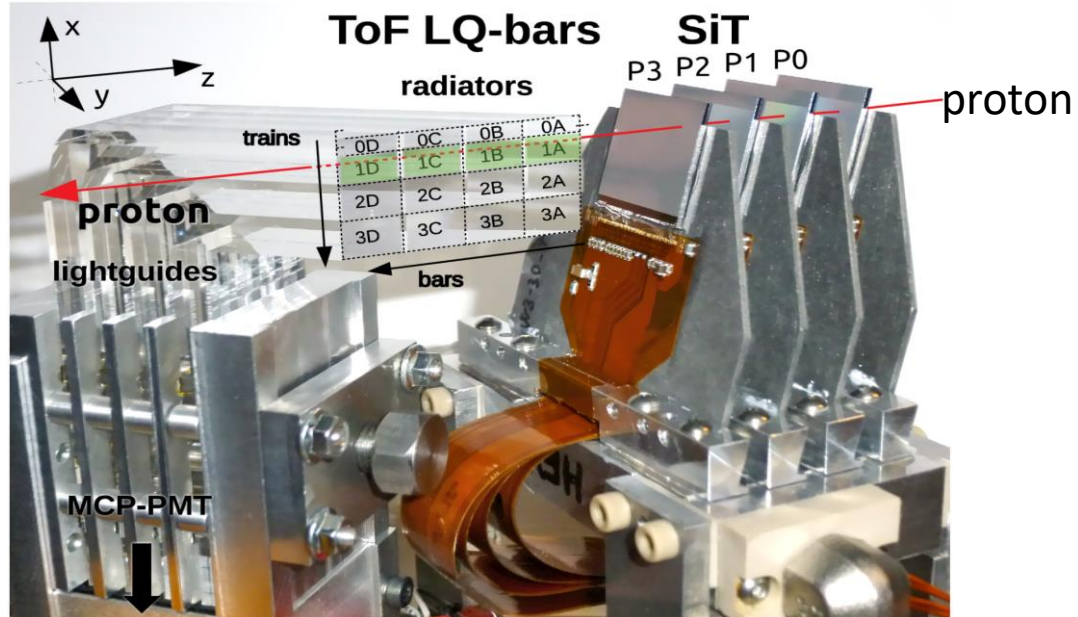
# Correlation to ATLAS central

- Correlation of track x-position to charged track multiplicity of the Inner Detector (ID)
- Selection:
  - Single AFP track in each station on given side
  - ID track  $p_T > 500$  MeV
  - ID track  $|\eta| < 2.5$
  - Reconstructed primary vertex
- Correlation of track x-position to total energy measured by ATLAS Calorimeters
- Selection:
  - Only one AFP track in each station on given side
  - Reconstructed primary vertex



# ToF-SiT alignment

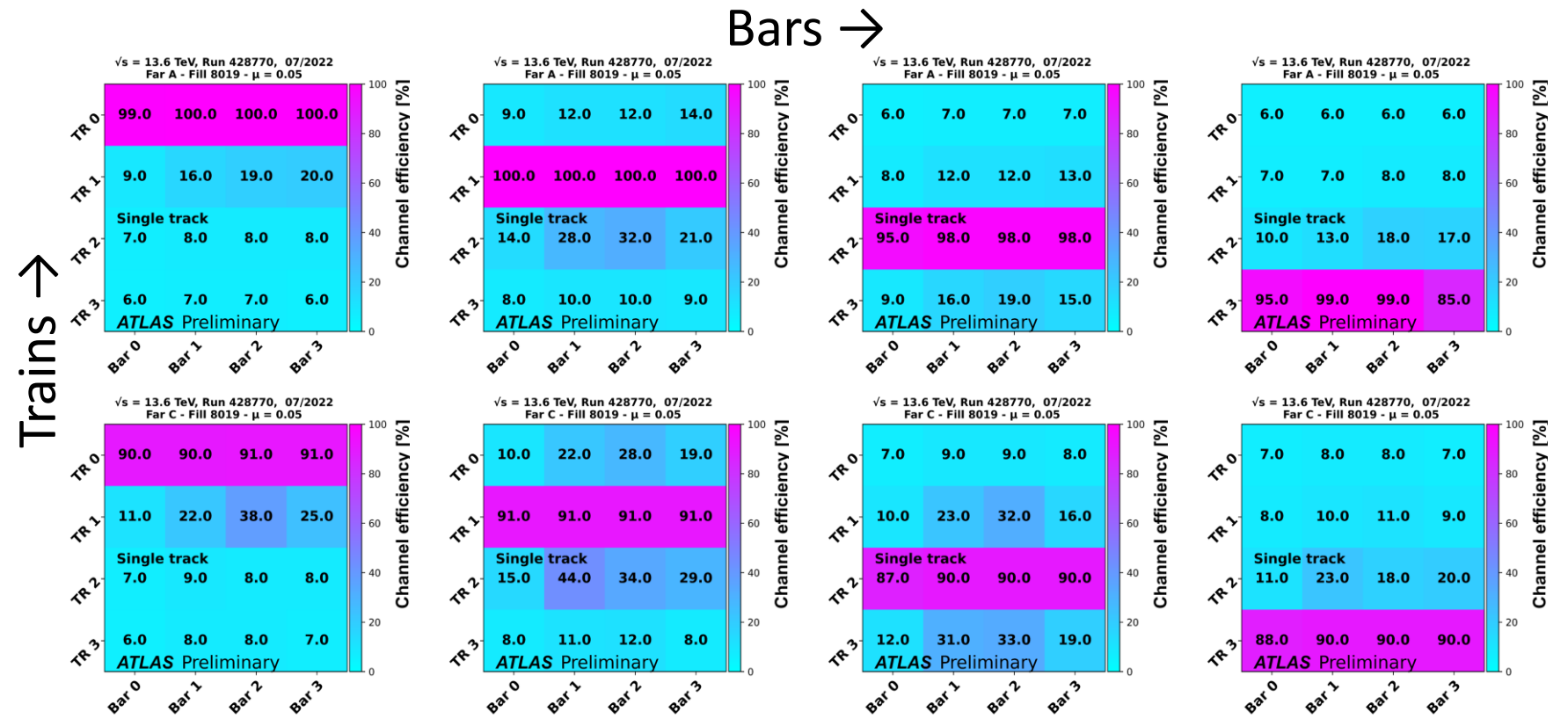
- Correlation of SiT track x-position to ToF train signal
- Selection:
  - Single SiT track in the station
  - Single ToF train signal in the station





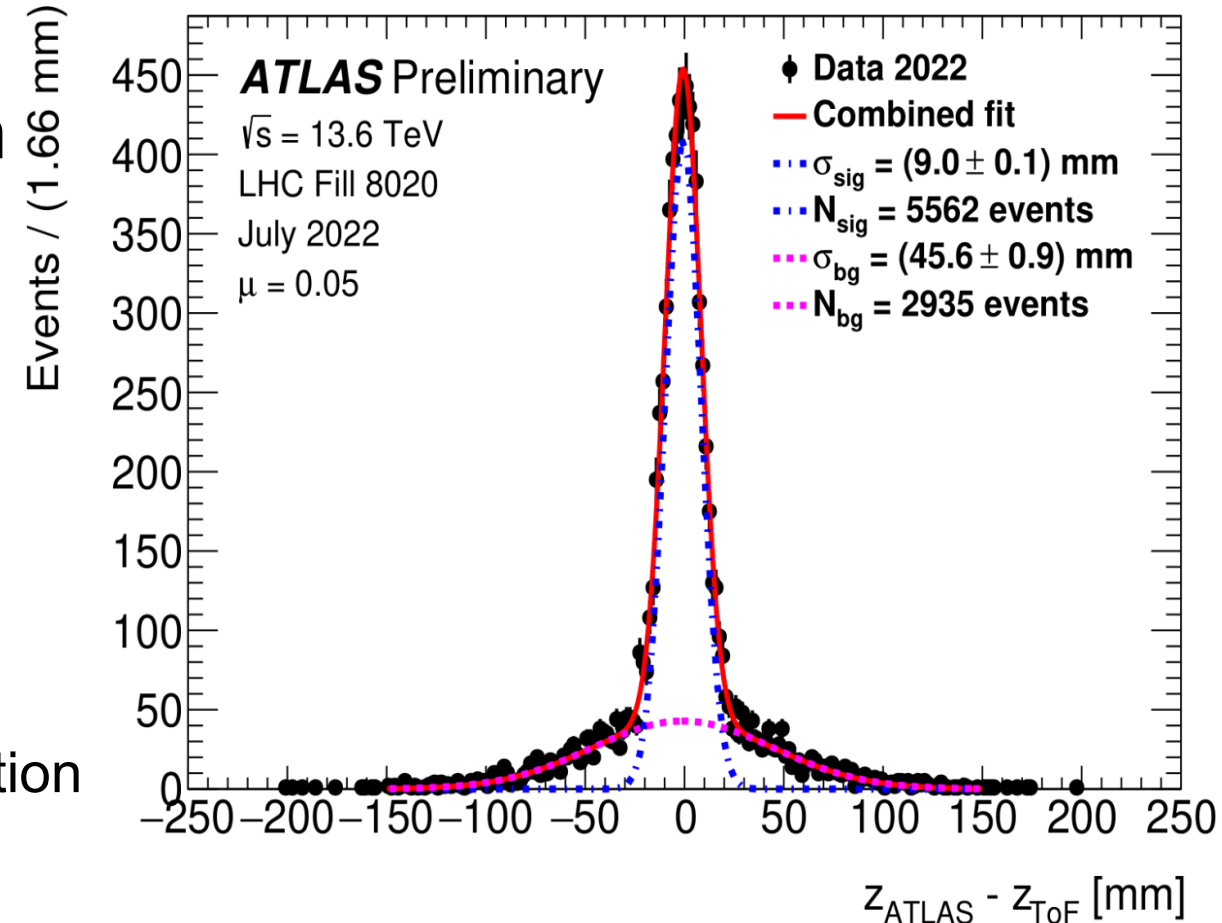
# ToF Efficiency

- Probability of observing a hit in the ToF detector during the **low- $\mu$  run** in July 2022
- Tag and Probe method
- Tagged by SiT
- Single track only
- Selection: Single SiT track in the station
- **High hit probability in expected trains**



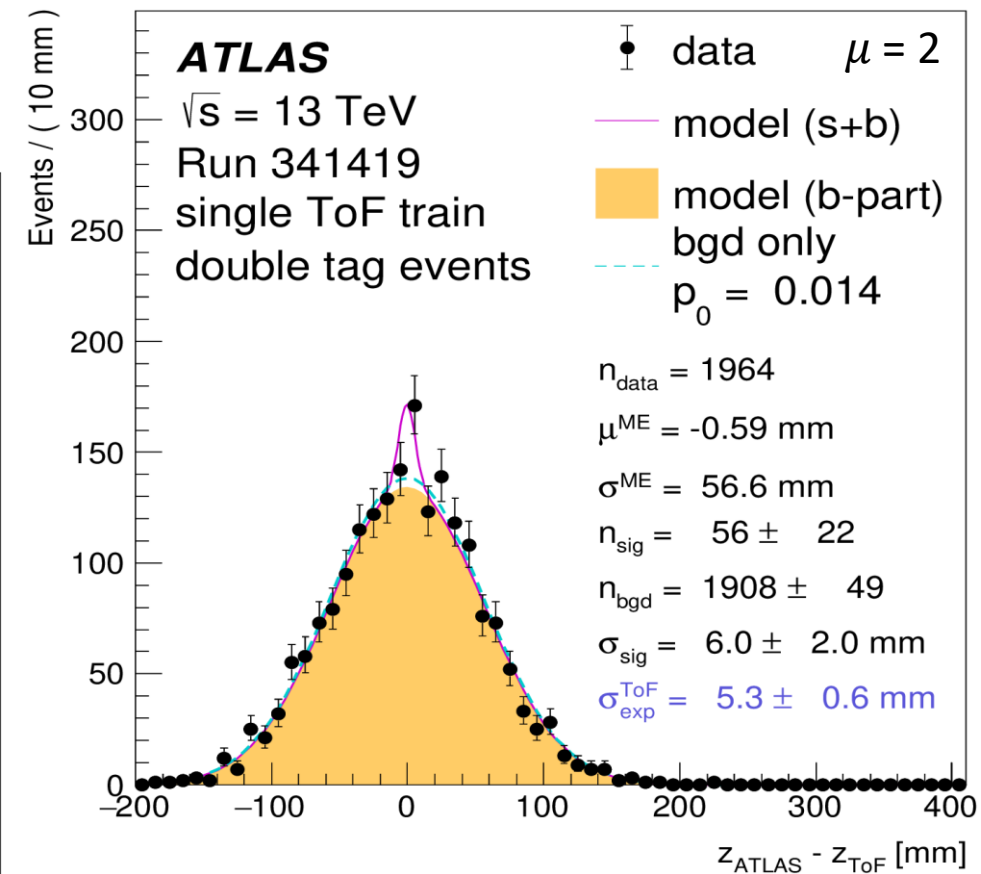
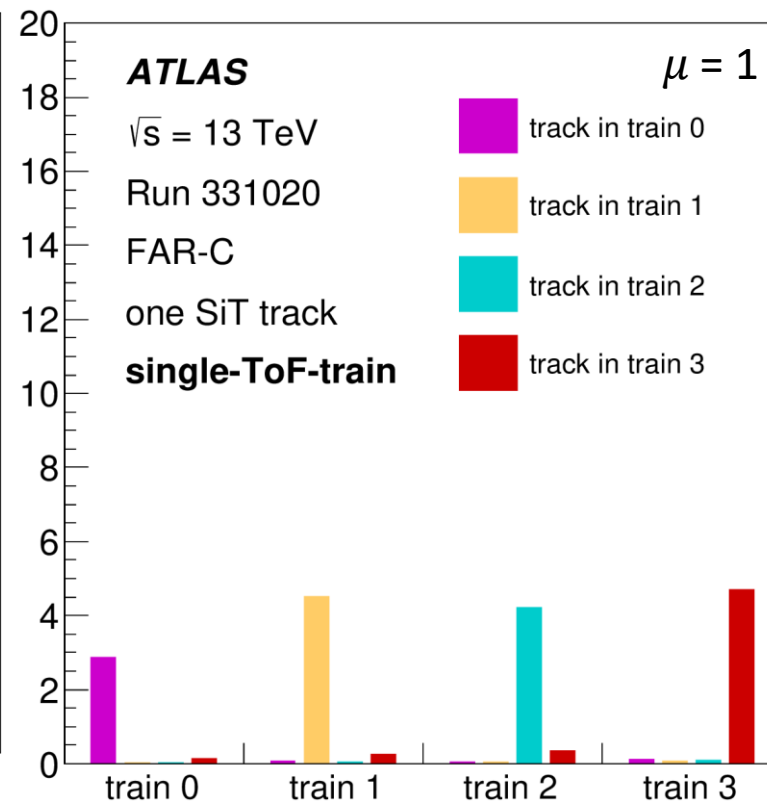
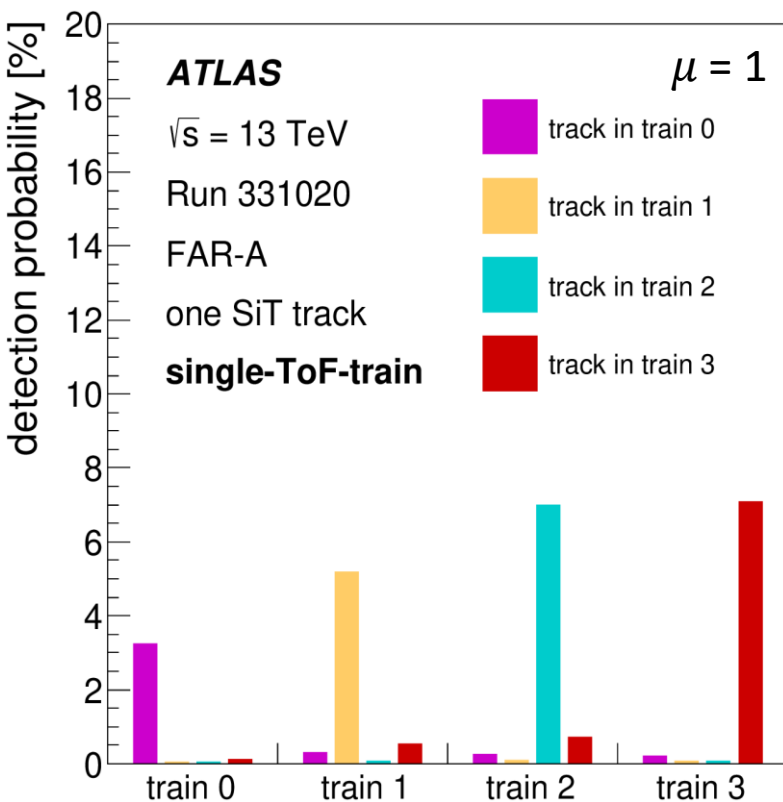
# ToF Vertex Matching

- Difference between longitudinal vertex position measured with AFP ToF and ATLAS Inner Detector (ID) measured during  $\mu=0.05$  run taken in July 2022
- Resolution  $9.0 \pm 0.1$  mm (30 ps)
- Small initial background contribution wrt signal
  - Low pile-up data-taking conditions
- Visible advantage of use of ToF information
  - Much smaller difference in vertex position in case of signal
- Selection:
  - Primary vertex in ATLAS ID
  - Single AFP ToF train signal in each far station
  - Maximum of one hit in each ToF channel
  - Single track in AFP SiT in each far station
  - SiT track position matching the ToF train position



# ToF Performance in LHC Run-2, [JINST 19 \(2024\) P05054](#)

- Full-train efficiency of  $\sim 4\text{-}6\%$
- While low efficiencies are observed, of the order of a few percent, the resolutions of the two ToF detectors measured individually are 21 ps and 28 ps
- Resolution of  $6.0 \pm 2.0$  mm



# AFP Results

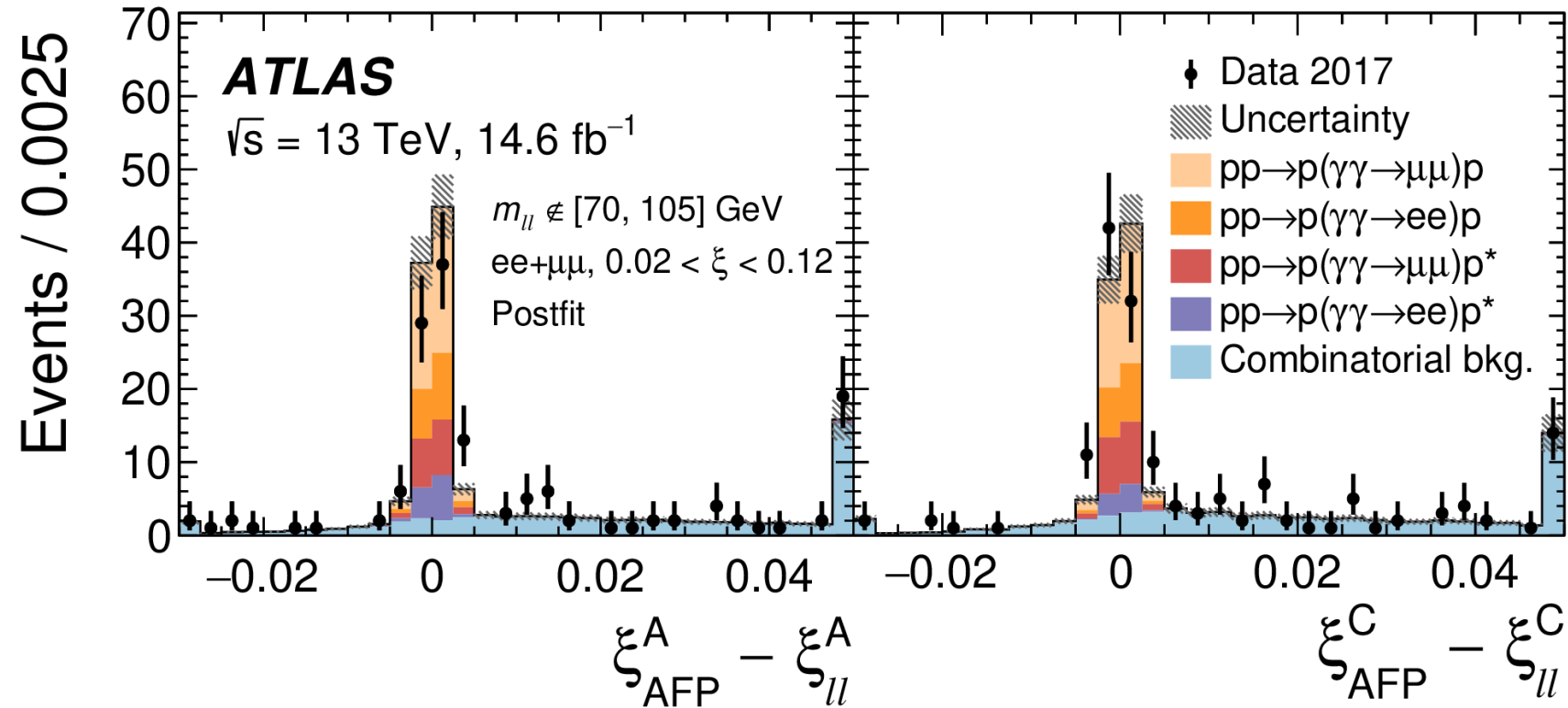
- **Proton tagging with the one arm AFP detector**  
[ATL-PHYS-PUB-2017-012](#) (2017)
- **Observation and measurement of forward proton scattering in association with lepton pairs produced via the photon fusion mechanism at ATLAS**  
[Phys. Rev. Lett. 125 \(2020\) 261801](#)
- **Performance of the ATLAS Forward Proton Time-of-Flight Detector in 2017**  
[ATL-FWD-PUB-2021-002](#) (2021)
- **Search for an axion-like particle with forward proton scattering in association with photon pairs at ATLAS**  
[JHEP 2307 \(2023\) 234](#)
- **Performance of the ATLAS Forward Proton Spectrometer during High Luminosity 2017 Data Taking**  
[ATL-FWD-PUB-2024-001](#) (2024)
- **Performance of the ATLAS forward proton Time-of-Flight detector in Run 2**

# Matching of lepton pair and proton kinematics $\xi_{\ell\ell}, \xi_{AFP}$

PRL 125 (2020) 261801, 14.6 fb<sup>-1</sup>

- Photon-induced di-lepton production with forward proton tag at 13 TeV
- AFP detection range  $0.02 < \xi < 0.12$
- Signal and combinatorial background processes
- $p^*$  dissociated proton

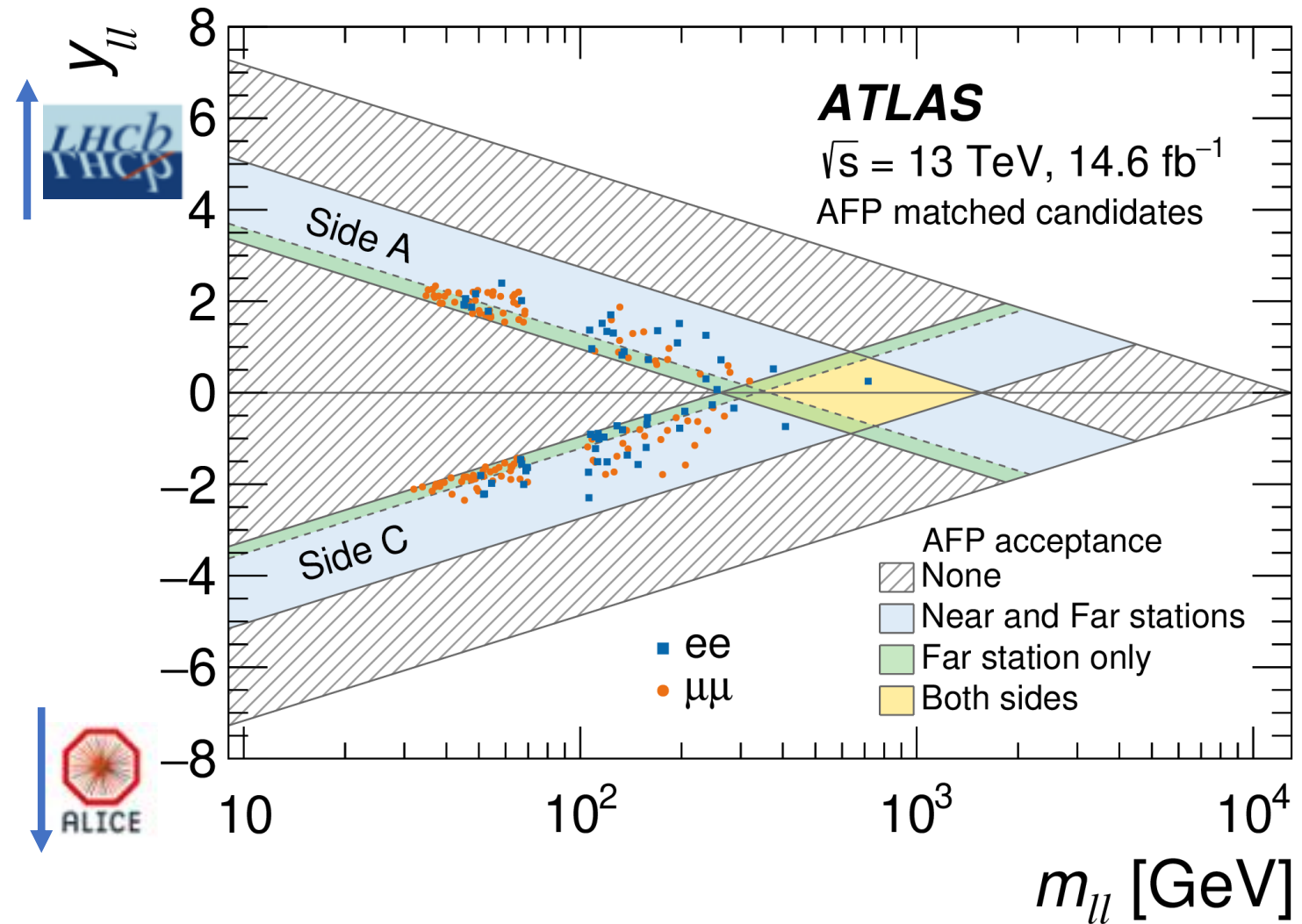
$$c_{\ell\ell} = (m_{\ell\ell}/\sqrt{s})e^{\pm\gamma_{\parallel}}, \quad \xi_{AFP} = 1 - E_p/E_{\text{beam}}$$



# Di-lepton events: rapidity $y_{\ell\ell}$ versus $m_{\ell\ell}$ plane

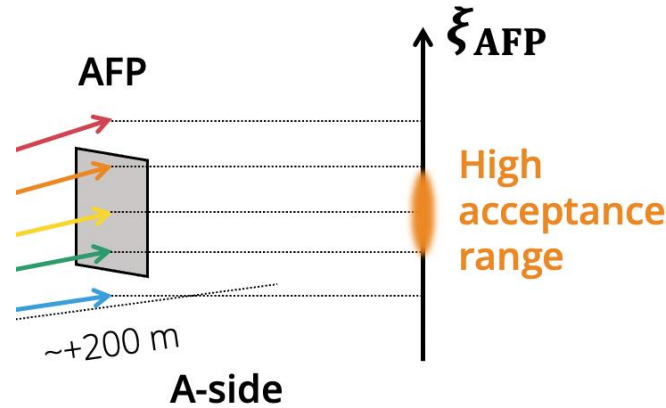
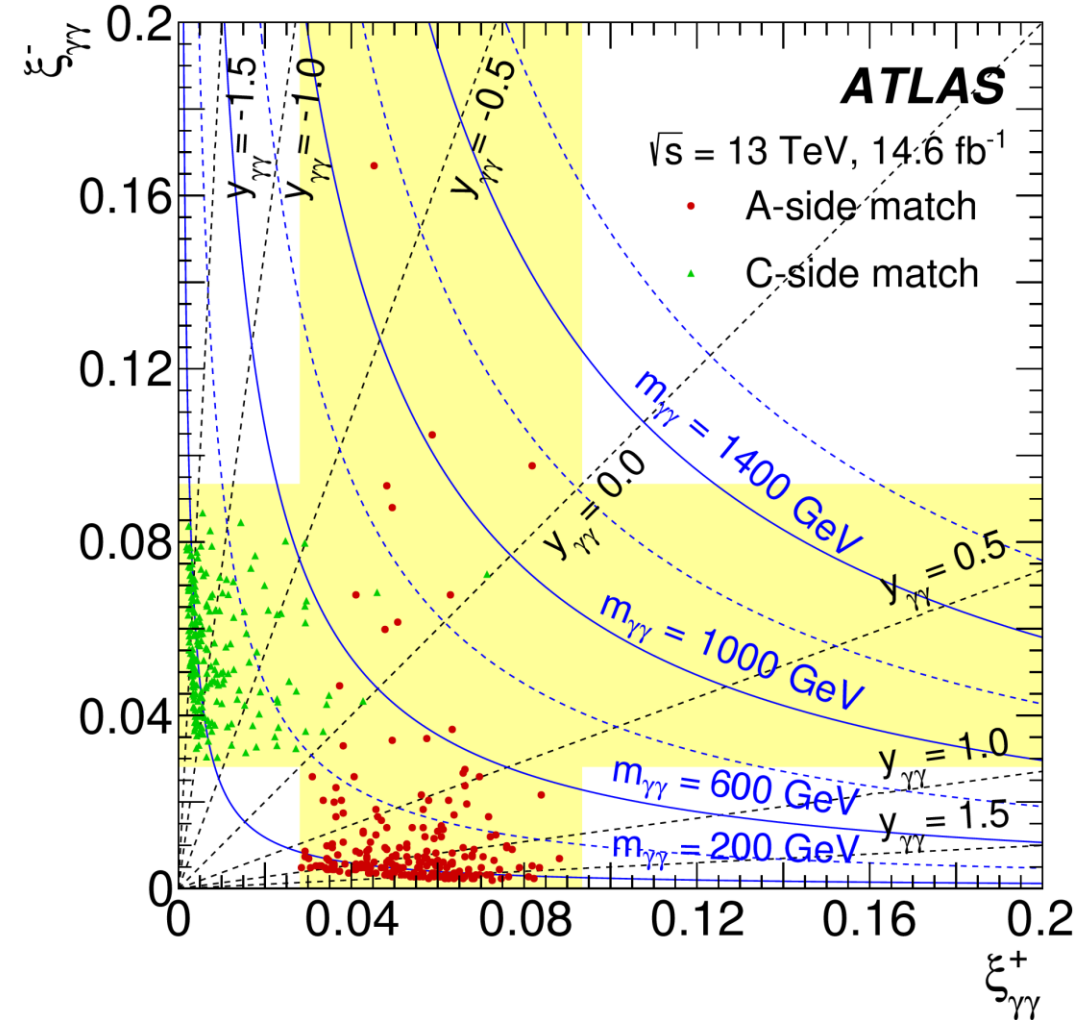
PRL 125 (2020) 261801, 14.6 fb<sup>-1</sup>

- Event selection and kinematic matching  $|\xi_{\text{AFP}} - \xi_{\ell\ell}| < 0.005$  on at least one side
- Shaded (hatched) areas denote the acceptance (no acceptance) for the AFP stations
- Areas neither shaded nor hatched correspond to  $\xi \notin [0, 1]$

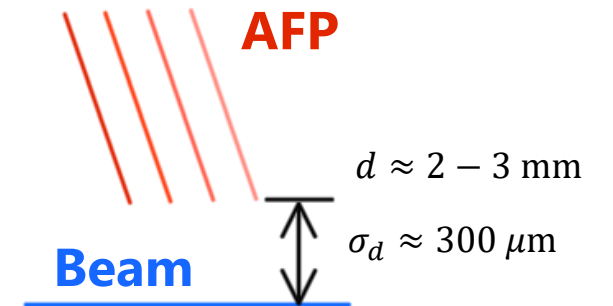


# Matching of photon pair and proton kinematics $\xi_{\gamma\gamma}$ , $\xi_{AFP}$

[JHEP 07 \(2023\) 234](#)



**Dominant systematic uncertainty:  
AFP global alignment**



$$|\Delta\xi| \equiv |\xi_{\text{AFP}} - \xi_{\gamma\gamma}| < 0.004 + 0.1\xi_{\gamma\gamma}$$

**2017 data: 441 events single matching, no double matching.**

# AFP key physics results: $\gamma\gamma \rightarrow \ell\ell$ and $\gamma\gamma \rightarrow \gamma\gamma$

## Measurement of $\gamma\gamma \rightarrow \ell\ell$ :

PRL 125 (2020) 261801, 14.6 fb<sup>-1</sup>.

- 57 (123) candidates e<sup>+</sup>e<sup>-</sup>+p (μ<sup>+</sup>μ<sup>-</sup>+p)
- Background-only hypothesis rejected with a significance >5σ in each channel
- Cross-section measurements in the fiducial detector acceptance ξ ∈ [0.035; 0.08]

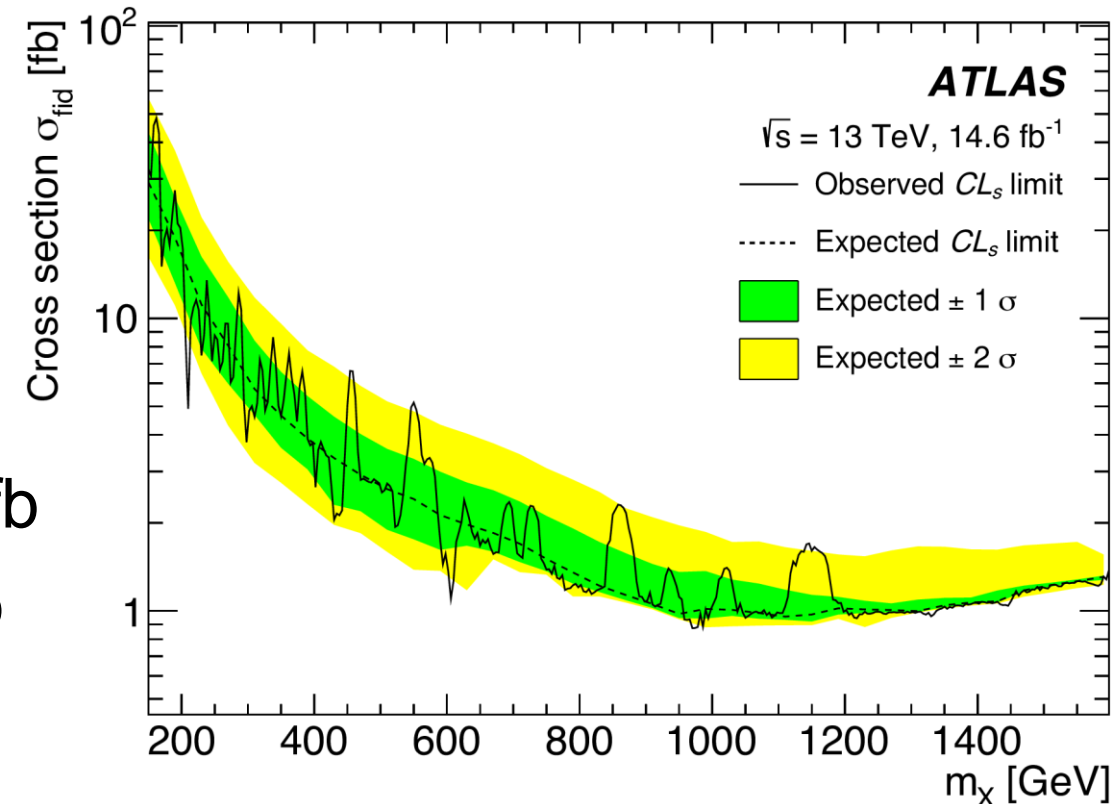
$$\sigma(ee+p) = 11.0 \pm 2.6 \text{ (st)} \pm 1.2 \text{ (sy)} \pm 0.3 \text{ (lumi)} \text{ fb}$$

$$\sigma(\mu\mu+p) = 7.2 \pm 1.6 \text{ (st)} \pm 0.9 \text{ (sy)} \pm 0.2 \text{ (lumi)} \text{ fb}$$

- Comparison with [proton soft survival](#) (no additional soft re-scattering) models: including soft survival probability improves the agreement with data.

## Limit on $\gamma\gamma \rightarrow \gamma\gamma$

JHEP 07 (2023) 234

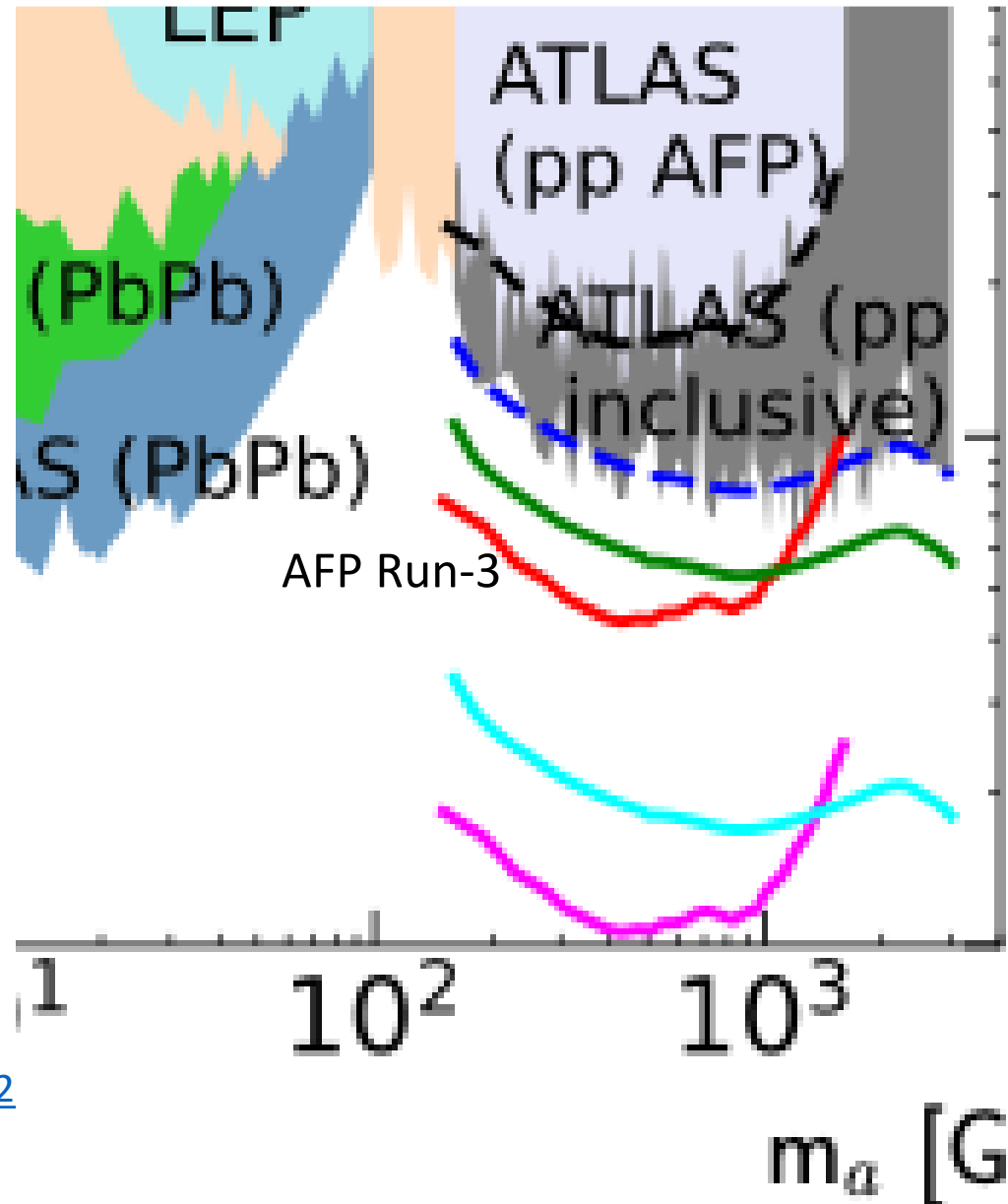
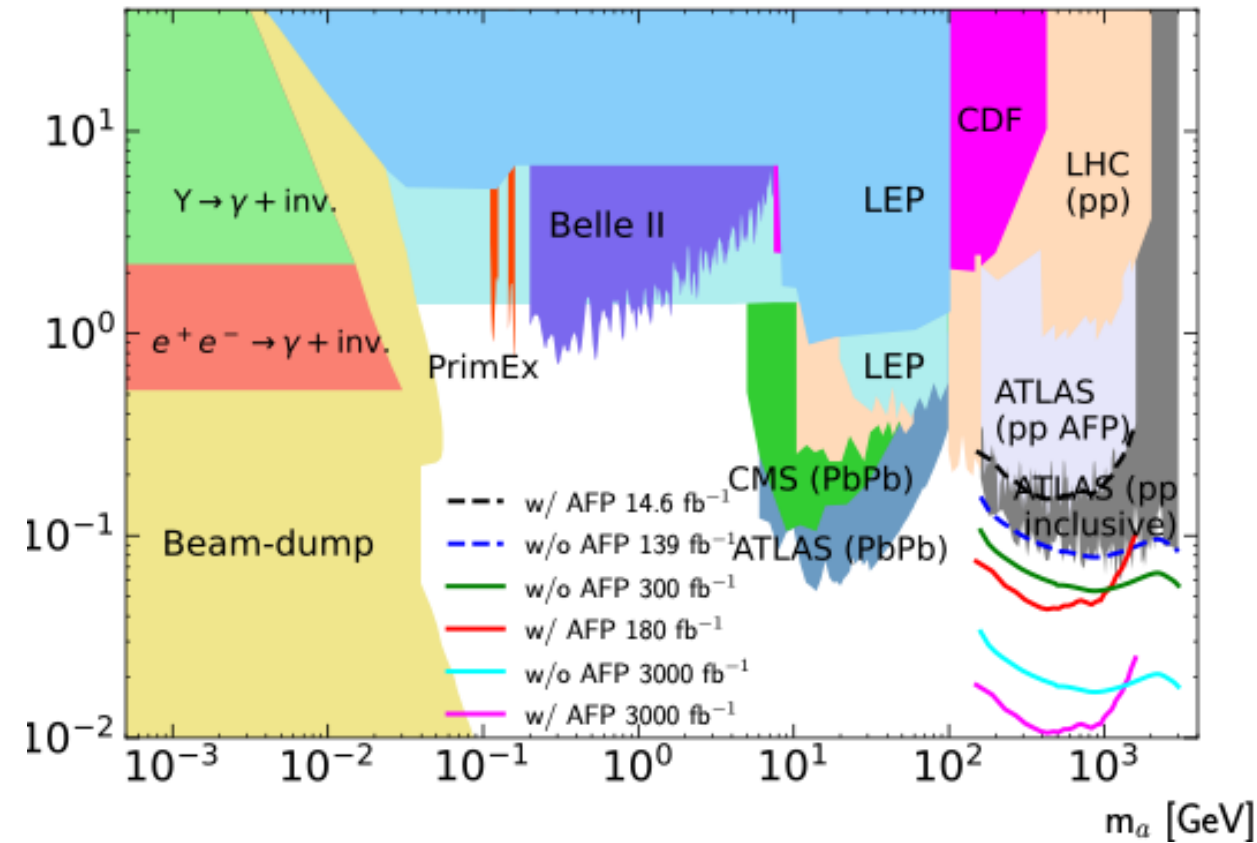




# Comparison with previous $\gamma\gamma \rightarrow \gamma\gamma$ results and extrapolation (separating systematic and statistical uncertainties)

ALP-photon coupling ( $1/\Lambda_a = 4/f$ )

Existing constraints from JHEP 07 (2023) 234



<https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PAPERS/EXOT-2019-2>

<https://cds.cern.ch/record/2890623>

# Conclusions and Outlook

- Physics programme with ALFA and AFP enhancement of ATLAS measurement capabilities
- High performance of ALFA and AFP-SiT detectors
- Good efficiency and time reconstruction resolution of AFP-ToF detectors in low- $\mu$  campaigns
- AFP efficient recorded data during high- $\mu$  campaigns as well as during special, low- $\mu$  runs
- Recent ALFA and AFP publications:
  - Measurement of exclusive pion pair production in proton-proton collisions at  $\sqrt{s} = 7$  TeV
  - Measurement of total cross-section from elastic scattering in pp collisions at  $\sqrt{s} = 13$  TeV
  - Observation of forward proton scattering in association with lepton pairs in photon fusion
  - ALP with AFP search
  - AFP ToF Performance in LHC Run-2

## Outlook:

- Large additional ALFA and AFP data sets being analysed
- LHC Run-3: AFP detector continues data-taking