Determination of V_{cb} from inclusive semileptonic *B*-meson decays

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- Dirac mass terms would explicitly break the SM gauge symmetry.
- Instead, quark masses are generated through Yukawa interactions:

$$\mathscr{L}_{SM} \supset \mathscr{L}_{Y}^{quark} = -\sum_{i,j=1}^{3} \left(Y_{ij}^{(d)} ar{q}_{L}^{i} \phi d_{R}^{j} + Y_{ij}^{(u)} ar{q}_{L}^{i} (i\sigma_{2}\phi^{*}) u_{R}^{j} + h.c.
ight).$$

• After symmetry breaking, the part proportional to the Higgs vev takes the form

$$\mathscr{L}_{Y}^{quark} \supset \mathscr{L}_{mass}^{quark} = -\sum_{i,j=1}^{3} \left(\bar{d}_{L}^{i} \mathcal{M}_{ij}^{(d)} d_{R}^{j} + \bar{u}_{L}^{i} \mathcal{M}_{ij}^{(u)} u_{R}^{j} + h.c. \right), \qquad q_{L} \equiv (u_{L}, d_{L})^{T}.$$

• The matrices $M^{(u)}$ and $M^{(d)}$ are diagonalized via Singular Value Decomposition:

$$M^{(u)} = U_L^{(u)} M_D^{(u)} U_R^{(u)\dagger}, \qquad \qquad M^{(d)} = U_L^{(d)} M_D^{(d)} U_R^{(d)\dagger},$$

• The unitary matrices $U_{L/R}^{(u)/(d)}$ are absorbed into definitions of quark fields:

$$u_L
ightarrow U_L^{(u)} u_L \qquad \qquad u_R
ightarrow U_R^{(u)} u_R \qquad \qquad d_L
ightarrow U_L^{(d)} d_L \qquad \qquad d_R
ightarrow U_R^{(d)} d_R,$$

which transforms the quark mass terms as:

$$\mathscr{L}_{mass}^{quark} \rightarrow -\sum_{i=1}^{3} \left(\bar{d}_{L}^{i} \left(M_{D}^{(d)} \right)_{ii} d_{R}^{i} + \bar{u}_{L}^{i} \left(M_{D}^{(u)} \right)_{ii} u_{R}^{i} + h.c. \right) = -\sum_{i=1}^{3} \left(\bar{d}^{i} \left(M_{D}^{(d)} \right)_{ii} d^{i} + \bar{u}^{i} \left(M_{D}^{(u)} \right)_{ii} u^{i} \right).$$

• The quark field redefinition affects their interactions with the charged gauge bosons:

$$\mathscr{L}_{SM} \supset \mathscr{L}_{CC} = \frac{g_{ew}}{\sqrt{2}} \left(W^+_\mu \bar{u}_L \gamma^\mu d_L + W^-_\mu \bar{d}_L \gamma^\mu u_L \right) \rightarrow \frac{g_{ew}}{\sqrt{2}} \left(W^+_\mu \bar{u}_L \gamma^\mu V d_L + W^-_\mu \bar{d}_L \gamma^\mu V^\dagger u_L \right),$$

where

$$V \equiv U_L^{(u)\dagger} U_L^{(d)}, \qquad \qquad V^{\dagger} V = \mathbb{1}.$$

• The vertices following from \mathcal{L}_{CC} are





- Unitarity of the CKM matrix V gives constraints on its entries. There are only 4 independent, real parameters.
- One can choose a parametrization that uses $|V_{cb}|$ explicitly:

$$egin{aligned} V pprox egin{pmatrix} 1 - rac{\lambda^2}{2}, & \lambda, & |V_{ub}|e^{-i\gamma}, \ -\lambda, & 1 - rac{\lambda^2}{2}, & |V_{cb}|, \ |V_{cb}|\lambda - |V_{ub}|e^{i\gamma}\left(1 - rac{\lambda^2}{2}
ight), & -|V_{cb}|\left(1 - rac{\lambda^2}{2}
ight) - |V_{ub}|\lambda e^{i\gamma}, & 1 \end{pmatrix}, \end{aligned}$$

where in each entry, relative corrections $\mathcal{O}(\lambda^3)$ were neglected.

• Parameter λ is the sine of the Cabibbo angle $\theta_C \approx 13^\circ$ and γ is the standard parametrization of the CKM phase, measured to be $\gamma \approx 66^\circ$.





- The rare B meson decay $B_s^0 \rightarrow \mu^+ \mu^-$ is mediated by the FCNC and thus loop suppressed in the SM.
- It is also sensitive to many New Physics (NP) models.
- Uncertainty due to |*V*_{cb}| is the main factor limiting the precision of the SM prediction:

$$\delta \mathcal{B}(B^0_s \to \mu^+ \mu^-) = \sqrt{(3.0\%)^2 + (2.3\%)^2 \over |V_{cb}|}.$$



• The value of $|V_{cb}|$ is one of the main sources of theoretical uncertainty in neutral Kaon mixing. The uncertainty budget of the *CP*-violating parameter $|\epsilon_K|$ is [arXiv:1911.06822]

$$\delta |\epsilon_{\kappa}| = \sqrt{(5.3\%)^2 + (6.4\%)^2 \over |V_{cb}|} + (6.4\%)^2 \over other}$$

• The experimental error is already below 1% [PDG, Prog. Theor. Exp. Phys. 2022, 083C01]:

$$|\epsilon_{\kappa}|_{exp} = (2.228 \pm 0.011) \times 10^{-3}.$$



- The standard method of extracting the value of $|V_{cb}|$ is to study the semileptonic transition $b \rightarrow c l \bar{\nu}_l, \ l \in \{e, \mu\}.$
- On the hadronic level it can be realized in an exclusive or inclusive way:



• Exclusive $B^- \to D^{0(*)} I \bar{\nu}_I$ decay.



• Inclusive $B^- \rightarrow X_c l \bar{\nu}_l$ decay. All hadronic final states with C = 1 are summed over.

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- Calculation of the exclusive decay rate requires knowledge of hadronic form factors.
- These are obtained using Lattice QCD for large 4-momentum transfer (q^2) to the leptons, or Light-Cone Sum Rules for small q^2 .
- The HFLAV average for the exclusive determination of $|V_{cb}|$ is [arXiv:1909.12524]

$$|V_{cb}|_{excl} = (39.25 \pm 0.56) \times 10^{-3}$$



• After integrating out top quarks and weak gauge bosons, the inclusive differential rate can be written as

$$rac{d\Gamma}{dq^2 dr^2 dE_I} \propto G_F^2 |V_{cb}|^2 L_{lphaeta} W^{lphaeta},$$

where ${\it G_F}$ is the Fermi constant, $q\equiv k_{\rm l}+k_{ar
u}$, $r\equiv p_B-q$, and

$$L^{\alpha\beta} \equiv \frac{1}{2} \sum_{s_l s_{\bar{\nu}}} A^{\alpha}_l A^{\dagger\beta}_l = k^{\alpha}_l k^{\beta}_{\bar{\nu}} + k^{\beta}_l k^{\alpha}_{\bar{\nu}} - (k_l k_{\bar{\nu}}) g^{\alpha\beta} - i \epsilon^{\alpha\rho\beta\sigma} k_{l_\rho} k_{\bar{\nu}\sigma}, \qquad A^{\alpha}_l \equiv \bar{u}^{(s_l)}_l \gamma^{\alpha} P_L v^{(s_{\bar{\nu}})}_{\bar{\nu}}.$$

• Assuming massless leptons, one can integrate $L^{\alpha\beta}$ over E_l to obtain

$$rac{d\Gamma}{dq^2 dr^2} \propto G_F^2 |V_{cb}|^2 rac{|ec{q}|}{3} (q_lpha q_eta - q^2 g_{lphaeta}) W^{lphaeta},$$

• The hadronic tensor $W^{\alpha\beta}$ is defined as

$$W^{lphaeta} \equiv \sum_{X_c} \langle B|J^{lpha}_H|X_c\rangle \langle X_c|J^{\dagger\beta}_H|B
angle, \qquad \qquad J^{lpha}_H \equiv ar b\gamma^{lpha} P_L c,$$

• The optical theorem can be used to relate $W^{\alpha\beta}$ to the correlator of currents $J^{\alpha}_{H^{c}}$:

$$W^{\alpha\beta} = \frac{1}{\pi} \operatorname{Im} \langle B | i \int d^4 x e^{iqx} T[J^{\alpha}_H(x) J^{\dagger\beta}_H(0)] | B \rangle \,.$$

• Now, one introduces the re-phased *b*-quark field $b_v(x)$:

$$b_v(x) \equiv \exp(im_b vx)b(x),$$
 $v \equiv \frac{p_B}{m_B},$

so $W^{\alpha\beta}$ becomes

$$W^{\alpha\beta} = \frac{1}{\pi} \operatorname{Im} \langle B | i \int d^4 x e^{-im_b \left(v - \frac{q}{m_b} \right) \times} \mathcal{T}[J^{\alpha}_{Hv}(x) J^{\dagger\beta}_{Hv}(0)] | B \rangle \,.$$

• In the above expression the relevant field modes have momenta $O(\Lambda)$, where $\Lambda \sim m_B - m_b, \Lambda_{QCD}$.

• Away from the $r^2 \approx m_D^2$ endpoint, short distance contributions dominate, and one can perform the Operator Product Expansion (OPE):

$$\frac{i}{m_b^2}\int d^4x e^{-im_b\left(v-\frac{q}{m_b}\right)x}T[J_{H\nu}^{\alpha}(x)J_{H\nu}^{\dagger\beta}(0)] = \sum_k \frac{\widetilde{C}_k O_k^{(n)\alpha\beta}(x=0)}{m_b^{n(k)}}$$

- Coefficients \tilde{C}_k can be computed in perturbative QCD by equating free parton matrix elements of both sides of the above equation.
- After the OPE, the differential decay rate takes form

$$rac{d\Gamma}{dq^2 dr^2 dE_l} \propto G_F^2 |V_{cb}|^2 \sum_k rac{C_k \langle B|O_k^{(n)}|B
angle}{m_b^{n(k)}}.$$

• At the leading order,

$$\langle B|O_k^{(0)}|B
angle = \langle B|ar{b}_
u b_
u|B
angle \equiv 2m_B\mu_3 = 2m_B\left(1+\mathcal{O}\left(rac{\Lambda^2}{m_b^2}
ight)
ight),$$

and so the leading term proportional to $(\Lambda/m_b)^0$ does not depend on any non-perturbative matrix elements.

• The operator appearing at $\mathcal{O}(\Lambda/m_b)$ vanishes via equations of motion up to corrections $\mathcal{O}((\Lambda/m_b)^2)$ so the first nonperturbative correction is suppressed by $(\Lambda/m_b)^2$.

• One can define spectral moments of the semileptonic decay as phase space integrals:

$$\langle M[w]
angle \propto G_F^2 |V_{cb}|^2 \int d\Phi w[v, k_l, k_{\bar{\nu}}] W^{lpha eta} L_{lpha eta},$$

with a certain weight function $w[v, k_l, k_{\bar{\nu}}]$:

•
$$\Gamma = \langle M[1] \rangle,$$

• $\langle (q^2)^k \rangle = \langle M[((k_l + k_{\bar{\nu}})^2)^k] \rangle,$

•
$$\frac{dI}{dE_l} = \langle M[\delta(vk_l - E_l)] \rangle$$
,

•
$$\frac{d\Gamma}{dq^2} = \langle M[\delta((k_l + k_{\bar{\nu}})^2 - q^2)] \rangle$$
,

•
$$\langle (E_l)^k \rangle_{E_l > E_{cut}} = \langle M[(vk_l)^k \theta(vk_l - E_{cut})] \rangle.$$

• The OPE can be applied to $\langle M[w] \rangle$ the same way as for the differential rate:

$$\langle M[w]
angle \propto G_F^2 \left| V_{cb}
ight|^2 \sum_{k=0}^\infty rac{C_k[w] \left\langle B
ight| O_k^{(n)}
ight| B
angle}{m_b^{n(k)}}$$

• The value of $|V_{cb}|$ is extracted together with quark masses and nonperturbative matrix elements $\langle B|O_k^{(n)}|B\rangle$ from a global fit of SM predictions of various $\langle M[w]\rangle$ to experimental data:

$$\{\langle M[w]\rangle_{exp} = \langle M[w]\rangle_{SM}\}_{w} \Longrightarrow \{|V_{cb}|, \langle B|O_{k}^{(n)}|B\rangle, m_{b}, m_{c}\}.$$

• Currently, the most precise fits use moments of E_l and r^2 up to $\mathcal{O}((\Lambda/m_b)^3)$. The most accurate determination of $|V_{cb}|$ is [arXiv:2107.00604v2]:

$$|V_{cb}|_{incl} = (42.16 \pm 0.51) imes 10^{-3}$$

- Reparametrization (RP) invariance can be used to eliminate some non-perturbative operators from the OPE [T. Mannel, K. K. Vos, JHEP 06 (2018) 115].
- The choice of the 4-velocity v in the definition of $b_v(x)$ is arbitrary, as long as $k_b \equiv p_b m_b v$ is $\mathcal{O}(\Lambda)$.
- The RP transformation $v \rightarrow v + \delta v$ does not change the tensors $W^{\alpha\beta}$ and $L_{\alpha\beta}$.
- Moments $\langle M[w] \rangle$ are RP invariant as long as weight function is RP invariant:

$$w[v, k_l, k_{\bar{\nu}}] = w[k_l, k_{\bar{\nu}}].$$

- Examples of RP invariant (RPI) quantities: Γ , $\langle (q^2)^k \rangle$, $d\Gamma/dq^2$, ...
- Moments and spectra in E_l and r^2 are not RPI.
- At the tree level, all terms of the OPE take the form

$$\sum_{n=const}rac{C_k[w]\,\langle B|O_k^{(n)}|B
angle}{m_b^{n(k)}}=rac{C_n^{\mu_1\dots\mu_n}[w]\,\langle B|ar{b}_v iD_{\mu_1}\dots iD_{\mu_n}b_v|B
angle}{m_b^n}.$$

The RP invariance implies relations between coefficients $C_n[w]$:

$$\delta_{RP}w = 0 \Longrightarrow \delta_{RP}C_n^{\mu_1\dots\mu_n}[w] = m_b\delta_{v_\alpha}\left(C_{n+1}^{\alpha\mu_1\dots\mu_n}[w] + C_{n+1}^{\mu_1\alpha\dots\mu_n}[w] + \dots + C_{n+1}^{\mu_1\dots\mu_n\alpha}[w]\right).$$

• These relations can be solved order by order in *n* to find redundant matrix elements in the OPE.

| $\mathcal{O}\left((\Lambda/m_b)^n\right)$ | New (tree level) RPI matrix elements | |
|---|--|--|
| 2 | $\langle B ar{b}_{ m v}b_{ m v} B angle\equiv 2m_B\mu_3\equiv 2m_B\left(1-rac{1}{2m_{ m k}^2}(\mu_\pi^2-\mu_G^2) ight)$ | |
| | $\langle B ar{b}_{ m v}(iD_lpha)(iD_eta)(-i\sigma^{lphaeta})ar{b}_{ m v} B angle \equiv 2m_B\mu_G^2$ | |
| 3 | $\langle B ar{b}_{v}\left[(iD_{\mu}),\left[\left(ivD+rac{1}{2m_{b}}(iD)^{2} ight),(iD)^{\mu} ight] ight]b_{v} B angle\equiv4m_{B} ho_{D}^{3}$ | |
| 4 | $\langle B \bar{b}_{\nu}[(iD_{\mu}),(iD_{\nu})][(iD^{\mu}),(iD^{\nu})]b_{\nu} B\rangle \equiv 2m_B r_G^4$ | |
| | $\langle B b_{v}[(ivD),(iD_{\mu})][(ivD),(iD^{\mu})]b_{v} B\rangle \equiv 2m_{B}r_{E}^{4}$ | |
| | $\langle B b_{\nu}[(iD_{\mu}),(iD_{\alpha})][(iD^{\mu}),(iD_{\beta})](-i\sigma^{\alpha\beta})b_{\nu} B\rangle \equiv 2m_{B}s_{B}^{4}$ | |
| | $\langle B b_{\nu}[(i\nu D),(iD_{\alpha})][(i\nu D),(iD_{\beta})](-i\sigma^{\alpha\beta})b_{\nu} B\rangle \equiv 2m_{B}s_{E}^{4}$ | |
| | $\langle B b_{ m v}[iD_{\mu},[iD^{\mu},[iD_{lpha},iD_{eta}]]](-i\sigma^{lphaeta})b_{ m v} B angle\equiv 2m_Bs_{qB}^4$ | |

- Considering RPI quantities reduces the number of fitting parameters at each order of the OPE.
- This can be used to improve fit precision up to $\mathcal{O}\left((\Lambda/m_b)^3\right)$ or extract the value of $|V_{cb}|$ with corrections up to $\mathcal{O}\left((\Lambda/m_b)^4\right)$.

| $\mathcal{O}\left((\Lambda/m_b)^n\right)$ | # of operators with RPI | # of operators without RPI |
|---|-------------------------|----------------------------|
| 0 | 0 | 0 |
| 1 | 0 | 0 |
| 2 | 2 | 2 |
| 3 | 3 | 4 |
| 4 | 8 | 13 |

• The first extraction of inclusive $|V_{cb}|$ from q^2 moments was published in May 2022 and reads [arXiv:2205.10274v1]:

$$|V_{cb}|_{incl} = (41.69 \pm 0.63) \times 10^{-3}.$$

• It includes corrections up to $O((\Lambda/m_b)^4)$, as well as most of the available perturbative QCD corrections to coefficients $C_n[w]$:

A B K A B K



- Imposing a lower bound on $q^2 \ge q_{cut}^2$ results in a cut on E_l .
- The lower bound is from the relation $q_{cut}^2 \leq 4E_l E_{\bar{\nu}}$.
- The upper from $m_{X_c}^2 \leq m_B^2 2m_b(E_l + E_{\bar{\nu}}) + 4E_l E_{\bar{\nu}}$

- On the experimental side of the fit, the recent results of q² spectrum from Belle [arXiv:2109.01685v2] and Belle II [arXiv:2205.06372v1] were used.
- Measurements of $B \to X_c l \bar{\nu}$ are not sensitive to events with small lepton energies.



- Choosing $q_{cut}^2 \ge q_{cr}^2(E_{cut}) = 2E_{cut}m_B 2E_{cut}m_D^2/(m_B 2E_{cut})$ eliminates all events with $E_l < E_{cut}$.
- One has to extrapolate experimental results to small lepton energies or impose a proper q^2 cut in the theoretical calculation.



- Knowledge of the whole spectrum $d\Gamma/dq^2$ allows for a straightforward extraction of q^2 moments and inclusion of a q^2 cut.
- Currently, at partonic level, the spectrum is known only up to $\mathcal{O}(\alpha_s^1)$.
- The transverse structure of

$$rac{d\Gamma}{dq^2 dr^2} \propto G_F^2 |V_{cb}|^2 rac{|ec{q}|}{3} (q_lpha q_eta - q^2 g_{lphaeta}) W^{lphaeta}$$

can be reproduced by polarization vectors ε_{μ} of an auxiliary final state W-boson with $M_W^2 = q^2$:

$$\sum_{ ext{polarizations}}arepsilon_lphaarepsilon_eta=rac{q_lpha q_eta-q^2 m{g}_{lphaeta}}{q^2}\propto\int dE_l L_{lphaeta}.$$



• Comparing the $d\Gamma/(dq^2dr^2)$ spectrum of the $B \to X_c l\bar{\nu}$ decay with the spectrum $d\Gamma_W/dr^2$ of the $B \to X_c W$ yields a relation:

$$rac{d \mathsf{\Gamma}}{d q^2} = rac{1}{48 \pi^2} rac{q^2}{M_{W(SM)}^4} \mathsf{\Gamma}_W,$$

where the numerical pre-factor comes from different phase space volumes of the two processes.

- The replacement $(B \to X_c l \bar{\nu}) \longrightarrow (B \to X_c W)$ allows one to retain the q^2 dependence of the process that would normally be integrated out when using the optical theorem.
- In this way, the spectrum can be computed from standard Feynman diagrams, without inclusion of an additional delta function in the phase space.
- Additionally, the lepton loop is integrated out for the price of an additional scale q^2 .



- The rate Γ_W is computed in perturbative QCD in powers of α_s .
- At order α_s^k one has to compute k + 1-loop integrals with 3 mass scales: m_b , m_c , and q^2 (assuming $m_u = m_d = m_s = 0$).
- It was observed in [arXiv:0810.0543v1] that an asymptotic expansion in $\delta \equiv 1 m_c/m_b \approx 0.7$ converges rapidly. Employing it at the amplitude level reduces the number of mass scales to 2.
- This can aid the IBP reduction but makes further asymptotic expansion more difficult.





Summary

- The CKM matrix describes mixing of different quark flavors. Values of its elements are not predicted by the SM but are extracted from fits to experimental data.
- The value of $|V_{cb}|$ is among the main sources of theoretical uncertainty of observables sensitive to NP.
- $|V_{cb}|$ is extracted from semileptonic decays of the B mesons.
- The inclusive rate of $B \rightarrow X_c l \bar{\nu}$ decay is computed using the OPE.
- The number of non-perturbative matrix elements in the OPE is reduced when one considers RPI quantities.
- The q^2 spectrum can be computed using the replacement $l\bar{\nu} \rightarrow W$, where $M_W^2 = q^2$.
- Computation of $\mathcal{O}(\alpha_s^2)$ and $\mathcal{O}(\alpha_s^3)$ perturbative corrections to $d\Gamma/dq^2$ is in progress.