

# INVARIANTS OF QUANTUM GROUPS RELATED TO THE SCALING AND MODULAR GROUPS

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# THE SETUP

- Let  $\mathbb{G}$  be a locally compact quantum group and left Haar measure  $\varphi$ .
- Let  $\{\sigma_t^\varphi\}_{t \in \mathbb{R}}$  be the modular group of  $\varphi$  and let  $\{\tau_t^{\mathbb{G}}\}_{t \in \mathbb{R}}$  denote the scaling group of  $\mathbb{G}$ .
- Let  $\delta$  be the modular element of  $\mathbb{G}$ .
- Denote the group of inner automorphisms of the von Neumann algebra  $L^\infty(\mathbb{G})$  by  $\text{Inn}(L^\infty(\mathbb{G}))$  and the group of approximately inner automorphisms of  $L^\infty(\mathbb{G})$  by  $\overline{\text{Inn}}(L^\infty(\mathbb{G}))$ .

# THE INVARIANTS

## DEFINITION

We define

$$T^\tau(\mathbb{G}) = \{t \in \mathbb{R} \mid \tau_t^\mathbb{G} = \text{id}\},$$

$$T_{\text{Inn}}^\tau(\mathbb{G}) = \{t \in \mathbb{R} \mid \tau_t^\mathbb{G} \in \text{Inn}(L^\infty(\mathbb{G}))\},$$

$$T_{\overline{\text{Inn}}}^\tau(\mathbb{G}) = \{t \in \mathbb{R} \mid \tau_t^\mathbb{G} \in \overline{\text{Inn}}(L^\infty(\mathbb{G}))\},$$

$$T^\sigma(\mathbb{G}) = \{t \in \mathbb{R} \mid \sigma_t^\varphi = \text{id}\},$$

$$T_{\text{Inn}}^\sigma(\mathbb{G}) = \{t \in \mathbb{R} \mid \sigma_t^\varphi \in \text{Inn}(L^\infty(\mathbb{G}))\},$$

$$T_{\overline{\text{Inn}}}^\sigma(\mathbb{G}) = \{t \in \mathbb{R} \mid \sigma_t^\varphi \in \overline{\text{Inn}}(L^\infty(\mathbb{G}))\},$$

$$\text{Mod}(\mathbb{G}) = \{t \in \mathbb{R} \mid \delta^{it} = \mathbf{1}\}.$$

## SOME PROPERTIES OF THE INVARIANTS

- The sets  $T_{\bullet}^{\circ}(\mathbb{G})$  are subgroups of  $\mathbb{R}$  and are isomorphism invariants of the quantum group  $\mathbb{G}$ .
- $T^{\tau}(\mathbb{G}) = T^{\tau}(\widehat{\mathbb{G}})$ .
- $T^{\bullet}(\mathbb{G})$ ,  $T_{\text{Inn}}^{\bullet}(\mathbb{G})$ , and  $\text{Mod}(\mathbb{G})$  are closed.
- We would obtain the same groups  $T^{\sigma}(\mathbb{G})$ ,  $T_{\text{Inn}}^{\sigma}(\mathbb{G})$ , and  $T_{\text{Inn}}^{\sigma}(\mathbb{G})$  if we chose the right Haar measure instead of the left one.
- $T_{\text{Inn}}^{\sigma}(\mathbb{G})$  is equal to the Connes' invariant  $T(L^{\infty}(\mathbb{G}))$ . Consequently,  $T_{\text{Inn}}^{\sigma}(\mathbb{G})$  depends only on the von Neumann algebra  $L^{\infty}(\mathbb{G})$ . It is also the case for  $T_{\text{Inn}}^{\sigma}(\mathbb{G})$ .

## SOME PROPERTIES OF THE INVARIANTS

### PROPOSITION

For any locally compact quantum group  $\mathbb{G}$  we have

$$\begin{aligned}T^\sigma(\mathbb{G}) &= T^\tau(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}), \\T_{\text{Inn}}^\sigma(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}) &= T_{\text{Inn}}^\tau(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}), \\T_{\text{Inn}}^\sigma(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}) &= T_{\text{Inn}}^\tau(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}), \\ \text{Mod}(\mathbb{G}) \cap \text{Mod}(\widehat{\mathbb{G}}) &\subset \frac{1}{2} T^\tau(\mathbb{G}).\end{aligned}$$

- The first equality above together with  $T^\tau(\mathbb{G}) = T^\tau(\widehat{\mathbb{G}})$  reduces the list to 11 (invariants  $T^\sigma(\mathbb{G})$ ,  $T^\sigma(\widehat{\mathbb{G}})$  and  $T^\tau(\widehat{\mathbb{G}})$  are determined by the remaining ones).
- If  $\mathbb{G}$  is compact then  $\text{Mod}(\mathbb{G}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = \mathbb{R}$ .
- If additionally  $L^\infty(\mathbb{G})$  is semifinite then  $T_{\text{Inn}}^\sigma(\mathbb{G}) = T_{\text{Inn}}^\sigma(\mathbb{G}) = \mathbb{R}$ .

## EXAMPLES

### EXAMPLE: THE QUANTUM $E(2)$ GROUP

With  $\mathbb{G} = E_q(2)$  for some  $q \in ]0, 1[$  we have

$$\begin{aligned} T^\tau(\mathbb{G}) &= T_{\text{Inn}}^\tau(\mathbb{G}) = T_{\text{Inn}}^\tau(\mathbb{G}) = T^\sigma(\mathbb{G}) = T^\tau(\widehat{\mathbb{G}}) = T^\sigma(\widehat{\mathbb{G}}) = \text{Mod}(\widehat{\mathbb{G}}) = \frac{\pi}{\log q} \mathbb{Z}, \\ T_{\text{Inn}}^\sigma(\mathbb{G}) &= T_{\text{Inn}}^\sigma(\mathbb{G}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = \text{Mod}(\mathbb{G}) = \mathbb{R}. \end{aligned}$$

## EXAMPLES

### EXAMPLE: QUANTUM “ $az + b$ ” GROUPS

Let  $\mathbb{G}$  be the quantum “ $az + b$ ” group for the deformation parameter  $q$  in one of the three cases:

- ①  $q = e^{\frac{2\pi i}{N}}$  with  $N = 6, 8, \dots$ ,
- ②  $q \in ]0, 1[$ ,
- ③  $q = e^{1/\rho}$  with  $\operatorname{Re} \rho < 0$ ,  $\operatorname{Im} \rho = \frac{N}{2\pi}$  with  $N = \pm 2, \pm 4, \dots$ .

Then

$$T_{\text{Inn}}^{\tau}(\mathbb{G}) = T_{\text{Inn}}^{\tau}(\widehat{\mathbb{G}}) = T_{\text{Inn}}^{\sigma}(\mathbb{G}) = T_{\text{Inn}}^{\sigma}(\widehat{\mathbb{G}}) = \mathbb{R},$$
$$T^{\tau}(\mathbb{G}) = T^{\tau}(\widehat{\mathbb{G}}) = T^{\sigma}(\mathbb{G}) = T^{\sigma}(\widehat{\mathbb{G}}) = \operatorname{Mod}(\mathbb{G}) = \operatorname{Mod}(\widehat{\mathbb{G}}) = \begin{cases} \{0\} & \text{in cases ① and ③} \\ \frac{\pi}{\log q} \mathbb{Z} & \text{in case ②} \end{cases}.$$

## EXAMPLES

EXAMPLE:  $U_F^+$

Let  $\mathbb{G}$  be the quantum group  $U_F^+$ . Then  $L^\infty(\mathbb{G})$  is a full factor, so  $\text{Inn}(L^\infty(\mathbb{G})) = \overline{\text{Inn}}(L^\infty(\mathbb{G}))$  (Vaes).

- $\mathbb{G}$  is compact, so  $\text{Mod}(\mathbb{G}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\tau(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = T_{\text{Inn}}^\sigma(\widehat{\mathbb{G}}) = \mathbb{R}$ .
- If  $\mathbb{G}$  is not of Kac type ( $\lambda F^*F \neq 1$ ) then

$$T_{\text{Inn}}^\tau(\mathbb{G}) = T_{\text{Inn}}^\tau(\mathbb{G}) = T^\tau(\mathbb{G}) = \bigcap_{\Lambda \in \text{Sp}(F^*F \otimes (F^*F)^{-1}) \setminus \{1\}} \frac{2\pi}{\log(\Lambda)} \mathbb{Z},$$

while  $\text{Mod}(\widehat{\mathbb{G}}) = \bigcap_{\Lambda \in \text{Sp}(F^*F) \setminus \{\lambda^{-1}\}} \frac{2\pi}{\log \lambda + \log(\Lambda)} \mathbb{Z}$ , where  $\lambda = \sqrt{\frac{\text{Tr}((F^*F)^{-1})}{\text{Tr}(F^*F)}}$ .

- If  $\mathbb{G}$  is not of Kac type then  $L^\infty(\mathbb{G})$  is a type III $_\mu$  factor for some  $\mu \in ]0, 1]$  and  $T_{\text{Inn}}^\sigma(\mathbb{G}) = T_{\text{Inn}}^\sigma(\mathbb{G}) = \frac{2\pi}{\log \mu} \mathbb{Z}$  (otherwise  $T_{\text{Inn}}^\sigma(\mathbb{G}) = T_{\text{Inn}}^\sigma(\mathbb{G}) = \mathbb{R}$ ).



## SOME COMMENTS

- In our previous work for any subgroup  $\Gamma$  of  $\mathbb{R}$  we constructed second countable compact quantum group  $\mathbb{K}$  such that  $T_{\text{Inn}}^\tau(\mathbb{K}) = \Gamma$ .
- The invariants were helpful in showing that for any  $\lambda \in ]0, 1]$  there are uncountably many pairwise non-isomorphic compact quantum groups  $\mathbb{G}$  with  $L^\infty(\mathbb{G})$  isomorphic to the injective factor of type  $\text{III}_\lambda$ .
- The equality  $T^\tau(U_F^+) = T_{\text{Inn}}^\tau(U_F^+)$  says that the compact quantum group  $U_F^+$  belongs to the class for which the following statement is true:

### CONJECTURE (\*)

If  $\mathbb{G}$  is a second countable compact quantum group and  $T_{\text{Inn}}^\tau(\mathbb{G}) = \mathbb{R}$  then  $\mathbb{G}$  is of Kac type.

- We were able to prove that this conjecture is true for many compact quantum groups including duals of second countable type I discrete quantum groups (e.g.  $q$ -deformations of compact semisimple Lie groups).

## MORE EXAMPLES

### EXAMPLE: $q$ -DEFORMATIONS

Let  $G$  be a compact semisimple Lie group with root system  $\Phi$  and let  $q \in ]0, 1[$ .

- Since  $G_q$  is compact we again have

$$\text{Mod}(G_q) = T_{\text{Inn}}^\tau(\widehat{G}_q) = T_{\text{Inn}}^\tau(\widehat{G}_q) = T_{\text{Inn}}^\sigma(\widehat{G}_q) = T_{\text{Inn}}^\sigma(\widehat{G}_q) = \mathbb{R}.$$

- Furthermore  $T_{\text{Inn}}^\sigma(G_q) = T_{\text{Inn}}^\sigma(G_q) = \mathbb{R}$  because  $C(G_q)$  is a  $C^*$ -algebra of type I.
- We have  $T^\tau(G_q) = \frac{\pi}{\log q} \mathbb{Z}$  and

$$T_{\text{Inn}}^\tau(G_q) = T_{\text{Inn}}^\tau(G_q) = \text{Mod}(\widehat{G}_q) = \frac{\pi}{\Upsilon_\Phi \log q} \mathbb{Z},$$

where  $\Upsilon_\Phi$  is a positive integer determined by Lie-theoretic data (see next two slides).

## MORE EXAMPLES

### EXAMPLE: $q$ -DEFORMATIONS (CONTINUED)

- Let  $\Phi = \Phi_1 \cup \dots \cup \Phi_l$  be the decomposition of  $\Phi$  into irreducible parts. Then

$$\Upsilon_\Phi = \gcd(\Upsilon_{\Phi_1}, \dots, \Upsilon_{\Phi_l}).$$

- We have

<b>type</b>	<b>group</b>	<b>range of <math>n</math></b>	$\Upsilon_\Phi$	$T_{\text{Inn}}^\tau(G_q)$
$A_n$	$SU(n+1)$	$n \geq 1$ odd	1	$\frac{\pi}{\log q} \mathbb{Z}$
		$n \geq 1$ even	2	$\frac{\pi}{2 \log q} \mathbb{Z}$
$B_n$	$\text{Spin}(2n+1)$	$n \geq 2$ odd	1	$\frac{\pi}{\log q} \mathbb{Z}$
		$n \geq 2$ even	2	$\frac{\pi}{2 \log q} \mathbb{Z}$
$C_n$	$\text{Sp}(2n)$	$n \geq 3$	2	$\frac{\pi}{2 \log q} \mathbb{Z}$
$D_n$	$\text{Spin}(2n)$	$n \geq 4$ odd	2	$\frac{\pi}{2 \log q} \mathbb{Z}$
		$n \geq 4$ even	1	$\frac{\pi}{\log q} \mathbb{Z}$

## MORE EXAMPLES

### EXAMPLE: $q$ -DEFORMATIONS (CONTINUED)

- And for the exceptional cases we have
  - type  $E_6$ :  $\Upsilon_\Phi = 2$  and  $T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{2 \log q} \mathbb{Z}$ ,
  - type  $E_7$ :  $\Upsilon_\Phi = 1$  and  $T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{\log q} \mathbb{Z}$ ,
  - type  $E_8$ :  $\Upsilon_\Phi = 2$  and  $T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{2 \log q} \mathbb{Z}$ ,
  - type  $F_4$ :  $\Upsilon_\Phi = 2$  and  $T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{2 \log q} \mathbb{Z}$ ,
  - type  $G_2$ :  $\Upsilon_\Phi = 2$  and  $T_{\text{Inn}}^\tau(G_q) = \frac{\pi}{2 \log q} \mathbb{Z}$ .

## SPECIAL CASE

- Consider the compact quantum group  $SU_q(3)$ .
- Then  $\Upsilon_\Phi = 2$ , so

$$T_{\text{Inn}}^\tau(SU_q(3)) = \frac{\pi}{2 \log q} \mathbb{Z},$$

while  $T^\tau(SU_q(3)) = \frac{\pi}{\log q} \mathbb{Z}$ .

- This means that there are non-trivial inner scaling automorphisms.
- $SU_q(3)$  does not have non-trivial one-dimensional representations, so these scaling automorphisms are not implemented by a group-like element.

### PROPOSITION

Let  $G$  be such that  $\Upsilon_\Phi = 2$ . Then a unitary implementing the scaling automorphism for  $t = \frac{\pi}{2 \log q}$  does not belong to  $C(G_q)$ . In particular, the restriction of this automorphism to  $C(G_q)$  is not inner.

## AND NOW FOR SOMETHING COMPLETELY DIFFERENT

### PROPOSITION

Let  $\Gamma$  be a discrete group. Then the following are equivalent:

- ①  $\Gamma$  is i.c.c.,
- ②  $L(\Gamma)$  is a factor,
- ③  $\Delta_{\hat{\Gamma}}^{(n)}(L(\Gamma))' \cap \underbrace{L(\Gamma) \bar{\otimes} \cdots \bar{\otimes} L(\Gamma)}_{n+1} = \mathbb{C}1$  for some  $n \in \mathbb{N}$ ,
- ④  $\Delta_{\hat{\Gamma}}^{(n)}(L(\Gamma))' \cap \underbrace{L(\Gamma) \bar{\otimes} \cdots \bar{\otimes} L(\Gamma)}_{n+1} = \mathbb{C}1$  for all  $n \in \mathbb{N}$ .

## I.C.C.-TYPE CONDITIONS

### PROPOSITION

Let  $\mathbb{G}$  be a locally compact quantum group and assume that

$$\Delta_{\mathbb{G}}^{(n)}(L^\infty(\mathbb{G}))' \cap \underbrace{L^\infty(\mathbb{G}) \bar{\otimes} \cdots \bar{\otimes} L^\infty(\mathbb{G})}_{n+1} = \mathbb{C}\mathbf{1}$$

for some  $n \in \mathbb{N}$ . Then  $L^\infty(\mathbb{G})$  is a factor.

### DEFINITION

Let  $\mathbb{F}$  be a discrete quantum group. We say that  $\mathbb{F}$  is  $n$ -i.c.c. if

$$\Delta_{\mathbb{F}}^{(n)}(L^\infty(\hat{\mathbb{F}}))' \cap \underbrace{L^\infty(\hat{\mathbb{F}}) \bar{\otimes} \cdots \bar{\otimes} L^\infty(\hat{\mathbb{F}})}_{n+1} = \mathbb{C}\mathbf{1}.$$

## I.C.C.-TYPE CONDITIONS

### PROPOSITION

Let  $\Gamma$  be a discrete quantum group. If  $\Gamma$  is  $n$ -i.c.c. for some  $n$  then  $\Gamma$  is  $m$ -i.c.c. for all natural  $m \leq n$ .

### THEOREM

Let  $\mathbb{G}$  be a second countable compact quantum group whose dual is 1-i.c.c. Then conjecture (\*) holds for  $\mathbb{G}$ .



## THEOREM

Let  $\mathbb{G}$  be a second countable compact quantum group whose dual is 1-i.c.c. and such that  $T_{\text{Inn}}^\tau(\mathbb{G}) = \mathbb{R}$ . Then  $\mathbb{G}$  is of Kac type.

- We have  $\tau_t^\mathbb{G} = \text{Ad}(b^{it})$  for some positive self-adjoint operator  $b$ .
- Furthermore, for any  $x \in L^\infty(\mathbb{G})$  and any  $t \in \mathbb{R}$

$$(b^{-it} \otimes b^{-it})\Delta_\mathbb{G}(b^{it})\Delta_\mathbb{G}(x)\Delta_\mathbb{G}(b^{-it})(b^{it} \otimes b^{it}) = (\tau_{-t}^\mathbb{G} \otimes \tau_{-t}^\mathbb{G})\Delta_\mathbb{G}(\tau_t^\mathbb{G}(x)) = \Delta(x),$$

so  $(b^{-it} \otimes b^{-it})\Delta(b^{it}) \in \Delta_\mathbb{G}(L^\infty(\mathbb{G}))' \cap L^\infty(\mathbb{G}) \overline{\otimes} L^\infty(\mathbb{G}) = \mathbb{C}\mathbf{1}$ .

- Thus  $(b^{-it} \otimes b^{-it})\Delta(b^{it}) = z_t \mathbf{1}$  for some scalars  $z_t$ . Moreover  $t \mapsto z_t$  is a continuous homomorphism, so  $z_t = \lambda^{it}$  for some  $\lambda > 0$ .
- Put  $B = \lambda b$ . Then still  $\tau_t^\mathbb{G} = \text{Ad}(B^{it})$  and, additionally,  $\Delta_\mathbb{G}(B^{it}) = B^{it} \otimes B^{it}$  for all  $t$ .

## THEOREM

Let  $\mathbb{G}$  be a second countable compact quantum group whose dual is 1-i.c.c. and such that  $T_{\text{Inn}}^{\tau}(\mathbb{G}) = \mathbb{R}$ . Then  $\mathbb{G}$  is of Kac type.

- Next we calculate

$$(\mathbf{h}_{\mathbb{G}} \otimes \text{id})\Delta_{\mathbb{G}} \left( \int_{t-\frac{1}{n}}^{t+\frac{1}{n}} B^{is} ds \right) = (\mathbf{h}_{\mathbb{G}} \otimes \text{id}) \int_{t-\frac{1}{n}}^{t+\frac{1}{n}} (B^{is} \otimes B^{is}) ds = \int_{t-\frac{1}{n}}^{t+\frac{1}{n}} \mathbf{h}_{\mathbb{G}}(B^{is}) B^{is} ds$$

- Multiplying by  $2n$  and taking  $\lim_{n \rightarrow \infty}$  we obtain  $\mathbf{h}_{\mathbb{G}}(B^{it})\mathbb{1} = \mathbf{h}_{\mathbb{G}}(B^{it})B^{it}$ , so  $B = \mathbb{1}$ .
- It follows that  $\tau_t^{\mathbb{G}} = \text{id}$  for all  $t$ .

## EXAMPLE

- Recall that  $\text{Irr } U_F^+ = \mathbb{Z}_+ \star \mathbb{Z}_+$  with the two copies of  $\mathbb{Z}_+$  generated by the class  $\alpha$  of the defining representation and  $\beta = \bar{\alpha}$ .
- For  $x \in \mathbb{Z}_+ \star \mathbb{Z}_+$  put

$$D_{x,n} = \begin{cases} \|\rho_x^2 - \mathbb{1}\| \frac{\|\rho_x\|^{2(n+1)} - 1}{\|\rho_x\|^2 - 1} & \rho_x \neq \mathbb{1} \\ 0 & \rho_x = \mathbb{1} \end{cases}.$$

- Let  $D_n = \max\{D_{\alpha\beta,n}, D_{\beta\alpha,n}, D_{\alpha^2\beta,n}\}$ .

### THEOREM

If  $D_n < 1 - \frac{1}{\sqrt{2}}$  and  $\frac{2(7-4D_n)D_n}{2(1-D_n)^2-1} < \frac{1}{\sqrt{n+1}}$  then  $\widehat{U}_F^+$  is  $n$ -i.c.c.

## EXAMPLE (CONTINUED)

### THEOREM

Take  $n \in \mathbb{N}$  and write  $c = \max\{\|\lambda F^* F - \mathbb{1}\|, \|(\lambda F^* F)^{-1} - \mathbb{1}\|\}$ , where

$$\lambda = \sqrt{\frac{\text{Tr}((F^* F)^{-1})}{\text{Tr}(F^* F)}}. \text{ If}$$

$$\sqrt{n}(n+1)c(2+c)(1+c)^{4+6n} < \frac{1}{72}$$

then  $\widehat{U}_F^+$  is  $n$ -i.c.c.

Thank you for your attention